Math 4527 (Number Theory 2) Lecture #29 of 37 \sim March 31, 2021

Factorization in $\mathcal{O}_{\sqrt{-3}}$ + Diophantine Equations

- Factorization in $\mathcal{O}_{\sqrt{-3}}$
- Applications to Diophantine Equations

This material represents §8.3.2-8.3.3 from the course notes.

Factorization in $\mathcal{O}_{\sqrt{-3}}$, I

Since $\mathcal{O}_{\sqrt{-3}} = \mathbb{Z}[\frac{1+\sqrt{-3}}{2}]$ is also a Euclidean domain, we can analyze factorizations in this ring using essentially the same techniques we used for $\mathbb{Z}[i]$ and for $\mathbb{Z}[\sqrt{-2}]$.

- Things are slightly complicated by the fact that the generator for the ring is $\frac{1+\sqrt{-3}}{2}$ rather than $\sqrt{-3}$, but it is not especially difficult to handle this minor change.
- We also have more units in $\mathcal{O}_{\sqrt{-3}}$: specifically, it contains the sixth roots of unity, which are the elements $\frac{\pm 1 \pm \sqrt{-3}}{2}$ and ± 1 .
- One helpful aspect of these extra units is that every element is associate to one of the form a + b√-3 with a, b ∈ Z.
- Explicitly, if $\alpha = \frac{c+d\sqrt{-3}}{2}$ with c, d odd has $c \equiv d \mod 4$, then $\alpha \cdot \frac{1-\sqrt{-3}}{2}$ has integer coefficients, while if $c \equiv d+2$ mod 4 then $\alpha \cdot \frac{1+\sqrt{-3}}{2}$ has integer coefficients.

Now we can identify the irreducible elements in $\mathcal{O}_{\sqrt{-3}}$:

Theorem (Irreducibles in $\mathcal{O}_{\sqrt{-3}}$)

Up to associates, the irreducible elements in $\mathcal{O}_{\sqrt{-3}}$ are as follows:

- 1. The element $\sqrt{-3}$ (of norm 3).
- 2. The primes $p \in \mathbb{Z}$ congruent to 2 modulo 3 (of norm p^2).
- 3. The distinct irreducible factors $a + b\sqrt{-3}$ and $a b\sqrt{-3}$ (each of norm p) of $p = a^2 + 3b^2$ where $p \in \mathbb{Z}$ is congruent to 1 modulo 3.

Factorization in $\mathcal{O}_{\sqrt{-3}}$, III

Proof:

- Since Z[i] is Euclidean, we may equivalently find the ideal factors of the ideals (p) for integer primes p, which we may do by factoring q(x) = x² − x + 1 modulo p.
- For p = 3, we have $x^2 x + 1 \equiv (x 2)^2 \pmod{p}$, so we obtain the ideal factorization $(3) = (\omega 2)^2 = (\sqrt{-3})^2$, yielding the element factorization $3 = -(\sqrt{-3})^2$.

Factorization in $\mathcal{O}_{\sqrt{-3}}$, IV

<u>Proof</u> (continued):

- For $p \equiv 1 \mod 3$, we instead have $\left(\frac{-3}{p}\right) = \left(\frac{p}{3}\right) = 1$: thus -3 is a square modulo p, so $x^2 x + 1$ factors mod p.
- If the factorization is $x^2 x + 1 \equiv (x r)(x 1 + r) \pmod{p}$, the ideal factorization is $(p) = (p, \omega r) \cdot (p, \omega 1 + r)$.
- Since O_{√-3} is a PID, the ideal (p, ω r) = (a + b√-3) for some a, b that we can compute by applying the Euclidean algorithm to p and ω r. Its conjugate is then (p, ω 1 + r) = (a b√-3).
- This yields the ideal factorization $(p) = (a + b\sqrt{-3})(a - b\sqrt{-3})$ and so we get the element factorization $p = (a + b\sqrt{-3})(a - b\sqrt{-3})$ up to a unit factor, which by rescaling we may assume is 1. This means $p = (a + b\sqrt{-3})(a - b\sqrt{-3}) = a^2 + 3b^2$, and we have $N(a + b\sqrt{-3}) = a^2 + 3b^2 = p = N(a - b\sqrt{-3}).$

Factorization in $\mathcal{O}_{\sqrt{-3}}$, V

We can then compute element factorizations just as before:

- First, find the prime factorization of N(a + b√-3) = a² + 3b² over the integers Z, and write down a list of all (rational) primes p ∈ Z dividing N(a + b√-3).
- Second, for each p on the list, find the factorization of p in the ring $\mathcal{O}_{\sqrt{-D}}$, which we can do by referring to the lists above, and then solving $p = a^2 + 3b^2$ in integers a, b whenever this equation has a solution.
- We can find this factorization by inspection for small p, and for large p we can find a solution by solving the quadratic $r^2 \equiv -3 \pmod{p}$ and then using the Euclidean algorithm to compute the gcd $a + b\sqrt{-3}$ of p and $\sqrt{-3} + r$ in $\mathcal{O}_{\sqrt{-3}}$.
- Finally, use trial division to determine which irreducible elements divide $a + b\sqrt{-3}$ in $\mathcal{O}_{\sqrt{-3}}$ and to which powers.

Factorization in $\mathcal{O}_{\sqrt{-3}}$, VI

<u>Example</u>: Find the prime factorization of $27 - \sqrt{-3}$ in $\mathcal{O}_{\sqrt{-3}}$.

Factorization in $\mathcal{O}_{\sqrt{-3}}$, VI

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- We compute $N(27 \sqrt{-3}) = 27^2 + 3 \cdot 1^2 = 2^2 \cdot 3 \cdot 61$, so the primes dividing the norm are 2, 3, and 61.
- Over $\mathcal{O}_{\sqrt{-3}}$, the element 2 is prime, and we also can find the factorizations $3 = 0 + 3 \cdot 1^2 = -\sqrt{-3}^2$ and $61 = 7^2 + 3 \cdot 2^2 = (7 + 2\sqrt{-3})(7 2\sqrt{-3}).$
- Now we just do trial division to find the correct powers of each of these elements dividing $47 + 32\sqrt{-2}$: we get one factor of 2, one factor of $\sqrt{-3}$, and one of $7 \pm 2\sqrt{-3}$.
- Doing the trial division yields the factorization $27 - \sqrt{-3} = \frac{-1 - \sqrt{-3}}{2} \cdot 2 \cdot \sqrt{-3} \cdot (7 + 2\sqrt{-3}).$

We can also describe the integers that can be represented by the two quadratic forms $a^2 + ab + b^2$ and $a^2 + 3b^2$:

Theorem (Integers of the Form $a^2 + ab + b^2$ and $a^2 + 3b^2$)

Let n be a positive integer, and write $n = 3^k p_1^{n_1} \cdots p_k^{n_k} q_1^{m_1} \cdots q_d^{m_d}$, where p_1, \cdots, p_k are distinct primes congruent to 1 modulo 3 and q_1, \cdots, q_d are distinct primes congruent to 2 modulo 3. Then n can be written in the form $a^2 + ab + b^2$ for integers a, b if and only if it can be written in the form $a^2 + 3b^2$, if and only if all the m_i are even. Furthermore, in this case, the number of ordered pairs of integers (A, B) such that $n = A^2 + AB + B^2$ is equal to $6(n_1 + 1)(n_2 + 1) \cdots (n_k + 1)$.

Factorization in $\mathcal{O}_{\sqrt{-3}}$, VIII

Proof:

- The question of whether *n* can be written as $n = A^2 + AB + B^2$ is equivalent to the question of whether *n* is the norm of an element $A + B\omega \in \mathcal{O}_{\sqrt{-3}}$ where $\omega = \frac{1+\sqrt{-3}}{2}$.
- Write $A + B\omega = \rho_1 \rho_2 \cdots \rho_r$ as a product of irreducibles (unique up to units), and take norms to obtain $n = N(\rho_1) \cdot N(\rho_2) \cdots N(\rho_r)$.
- By the classification of primes in $\mathcal{O}_{\sqrt{-3}}$, if ρ is irreducible in $\mathcal{O}_{\sqrt{-3}}$, then $N(\rho)$ is either 3, a prime congruent to 1 modulo 3, or the square of a prime congruent to 2 modulo 3.
- Hence there exists such a choice of ρ_i with $n = \prod N(\rho_i)$ if and only if all the m_i are even.
- For representations $a^2 + 3b^2$, we simply observe that every irreducible element in $\mathcal{O}_{\sqrt{-3}}$ is associate to one in $\mathbb{Z}[\sqrt{-3}]$, so all statements about representability also hold for $a^2 + 3b^2$.

Factorization in $\mathcal{O}_{\sqrt{-3}}$, IX

<u>Proof</u> (continued):

- For the counting, since the factorization of $A + B\omega$ is unique, to find the number of possible pairs (A, B), we need only count the number of ways to select terms for $A + B\omega$ and $A + B\overline{\omega}$ from the factorization of *n* over $\mathcal{O}_{\sqrt{-3}}$, which is $n = (-1)^k (\sqrt{-3})^{2k} (\pi_1 \overline{\pi_1})^{n_1} \cdots (\pi_k \overline{\pi_k})^{n_k} q_1^{m_1} \cdots q_d^{m_d}$.
- Up to associates, we must choose $A + B\omega = (\sqrt{-3})^k (\pi_1^{a_1} \overline{\pi_1}^{b_1}) \cdots (\pi_k^{a_k} \overline{\pi_k}^{b_k}) q_1^{m_1/2} \cdots q_d^{m_d/2},$ where $a_i + b_i = n_i$ for each $1 \le i \le k$.
- Since there are n_i + 1 ways to choose the pair (a_i, b_i), and 6 ways to multiply A + Bω by a unit, the total number of ways to write n as A² + AB + B² is 6(n₁ + 1) · · · (n_k + 1), as claimed.

<u>Example</u>: Determine whether 21, 101, and 292 can be written in the form $a^2 + 3b^2$ for integers *a* and *b*.

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- We have 21 = 3 · 7. Since all of the primes are either 3 or congruent to 1 modulo 3, 21 is of the form a² + 3b².
- The integer 101 is prime and congruent to 2 modulo 3. Therefore, it cannot be written in the form $a^2 + 3b^2$.
- We have $292 = 2^2 \cdot 73$. Since 73 is congruent to 1 modulo 3 and since 2 occurs to an even power, 292 is of the form $a^2 + 3b^2$.

<u>Example</u>: Find all integer solutions to the Diophantine equation $x^2 + y^2 = z^5$ where x and y are relatively prime.

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- Since squares are 0 or 1 modulo 4, one of x, y must be odd and the other is even, and also z is odd.
- Now factor the equation inside $\mathbb{Z}[i]$, which as we have shown is a unique factorization domain, as $(x + iy)(x iy) = z^5$.
- Claim: x + iy and x iy are relatively prime inside $\mathbb{Z}[i]$.
- To see this, observe that any common divisor must necessarily divide the sum 2x and the difference 2iy, but since x and y are relatively prime integers, this means that the gcd must divide $2 = -i(1+i)^2$. Thus the only possible Gaussian prime divisor of the gcd is 1 + i, but 1 + i does not divide x + iy because x and y have opposite parity.

Some More Diophantine Equations, II

<u>Example</u>: Find all integer solutions to the Diophantine equation $x^2 + y^2 = z^5$ where x and y are relatively prime.

- So, with (x + iy)(x − iy) = z⁵, we just showed x + iy and x − iy are relatively prime inside Z[i]. Since their product is a fifth power (namely, z⁵) and Z[i] is a UFD, this means that each term must be a fifth power up to a unit factor.
- But since the only units are $\pm 1, \pm i$ and these are all fifth powers (of themselves), we must have $x+iy = (a+bi)^5 = (a^5-10a^3b^2+5b^4)+(5a^4b-10a^2b^3+b^5)i$. Then the conjugate x - iy is $(a - bi)^5$, and $z^5 = (x + iy)(x - iy) = (a^2 + b^2)^5$.
- Since all such tuples work, the solutions are of the form $(x, y, z) = (a^5 10a^3b^2 + 5b^4, 5a^4b 10a^2b^3 + b^5, a^2 + b^2)$ for relatively prime integers a and b.

<u>Example</u>: Show that the only integer solutions to the Diophantine equation $y^2 = x^3 - 2$ are $(3, \pm 5)$.

Some More Diophantine Equations, III

<u>Example</u>: Show that the only integer solutions to the Diophantine equation $y^2 = x^3 - 2$ are $(3, \pm 5)$.

- First, observe that y must be odd, for if y were even then we would x³ ≡ 2 (mod 4), which is impossible.
- Now we rearrange the equation and factor it inside $\mathbb{Z}[\sqrt{-2}]$ as $(y + \sqrt{-2})(y \sqrt{-2}) = x^3$.
- Claim: $y + \sqrt{-2}$ and $y \sqrt{-2}$ are relatively prime in $\mathbb{Z}[\sqrt{-2}]$.
- To see this, observe that any common divisor must divide $(y + \sqrt{-2}) (y \sqrt{-2}) = 2\sqrt{-2} = -(\sqrt{-2})^3$, so the only possible irreducible factor of the difference is $\sqrt{-2}$.
- But $y + \sqrt{-2}$ cannot be divisible by $\sqrt{-2}$ since this would require y to be even.
- Thus, $y + \sqrt{-2}$ and $y \sqrt{-2}$ are relatively prime.

Some More Diophantine Equations, IV

Example: Show that the only integer solutions to the Diophantine equation $y^2 = x^3 - 2$ are $(3, \pm 5)$.

- We showed $y + \sqrt{-2}$ and $y \sqrt{-2}$ are relatively prime.
- Since their product is a cube (namely, x³) and Z[√-2] is a UFD, this means that each term must be a cube up to a unit factor. But since the only units are ±1 and these are both cubes, we must have

 $y + \sqrt{-2} = (a + b\sqrt{-2})^3 = (a^3 - 6ab^2) + (3a^2b - 2b^3)\sqrt{-2}$, which requires $3a^2b - 2b^3 = 1$.

- Factoring yields b(3a² − 2b²) = 1 and so since a, b are integers, we see that b = ±1 and then 3a² = 2±1, which has the two solutions (a, b) = (±1, −1).
- Then $y = a^3 6ab^2 = \pm 5$ and then x = 3, and so we obtain the solutions $(x, y) = (3, \pm 5)$ as claimed.

<u>Example</u>: Show that the Diophantine equation $4y^2 = x^3 - 3$ has no integer solutions.

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- First note that y cannot be divisible by 3, since then x would also have to be divisible by 3, but in that case $3 = x^3 4y^2$ would be divisible by 9, impossible.
- Now rearrange the equation and factor it inside the UFD $\mathcal{O}_{\sqrt{-3}}$ as $(2y + \sqrt{-3})(2y \sqrt{-3}) = x^3$.
- Any common divisor of 2y + √-3 and 2y √-3 must divide their difference 2√-3, which is the product of the irreducible elements √-3 and 2. Clearly 2 cannot divide 2y + √-3, and √-3 cannot divide it either because y is not divisible by 3.
- Therefore, $2y + \sqrt{-3}$ and $2y \sqrt{-3}$ are relatively prime.

Some More Diophantine Equations, VI

<u>Example</u>: Show that the Diophantine equation $4y^2 = x^3 - 3$ has no integer solutions.

- We've shown $2y + \sqrt{-3}$ and $2y \sqrt{-3}$ are relatively prime.
- Since their product is a cube and $\mathcal{O}_{\sqrt{-3}}$ is a UFD, this means that each term must be a cube up to a unit factor.
- By rescaling and conjugating if necessary, we either have 2y + √-3 = (a + b√-3)³ or (2y + √-3) · ^{-1+√-3}/₂ = (a + b√-3)³ for some a, b ∈ Z. However, the second case cannot occur, because the coefficients of the product on the LHS are not integers.
- So we must have $2y + \sqrt{-3} = (a + b\sqrt{-3})^3$. Expanding and comparing coefficients of $\sqrt{-3}$ yields $1 = 3a^2b 3b^3$, which is impossible since the right-hand side is a multiple of 3.
- Thus, there are no integer solutions, as claimed.

We can, with a nontrivial amount of work, also establish the n = 3 case of Fermat's conjecture, which was first settled by Euler.

For convenience in organizing the proof, we first establish a lemma (which is itself another example of solving a Diophantine equation):

Lemma (Cubes of the Form $m^2 + 3n^2$)

Suppose that m, n are relatively prime integers of opposite parity. If $m^2 + 3n^2 = r^3$, then there exist positive integers a and b with $m = a^3 - 9ab^2$ and $n = 3a^2b - 3b^3$.

The expressions for *m* and *n* come from comparing coefficients in $m + n\sqrt{-3} = (a + b\sqrt{-3})^3$.

Some More Diophantine Equations, VIII

<u>Proof</u>:

- Let *m*, *n* be relatively prime, opposite parity, $m^2 + 3n^2 = r^3$.
- First, if 3|m so that m = 3k, then we obtain 9k² + 3n² = r³: this forces 3|r, but then dividing by 3 shows that n³ = (r/3)³ 3k² so that 3 would also divide n, which is impossible. Thus, 3 ∤ m.
- Now factor the equation $m^2 + 3n^2 = r^3$ in $\mathcal{O}_{\sqrt{-3}}$ as $(m + n\sqrt{-3})(m n\sqrt{-3}) = r^3$.
- Any common divisor of $m + n\sqrt{-3}$ and $m n\sqrt{-3}$ must also divide 2m and $2n\sqrt{-3}$, and since m, n are relatively prime, this means the common divisor must divide $2\sqrt{-3}$.
- Since 2 and √-3 are irreducible in O_{√-3}, we can see 2 does not divide m + n√-3 because m, n have opposite parities, and √-3 does not divide m + n√-3 because 3 ∤ m.

Some More Diophantine Equations, IX

<u>Proof</u> (continued):

- So, $m + n\sqrt{-3}$ and $m n\sqrt{-3}$ are relatively prime.
- Then since $\mathcal{O}_{\sqrt{-3}}$ is a UFD, we see that $m + n\sqrt{-3}$ must be a unit times a cube: say $m + n\sqrt{-3} = u \cdot (a + b\sqrt{-3})^3$. By negating, conjugating, and replacing $a + b\sqrt{-3}$ with an associate as necessary, we may assume $a, b \in \mathbb{Z}$ and that the unit u is either 1 or $\frac{-1+\sqrt{-3}}{2}$.
- However, if $m + n\sqrt{-3} = \frac{-1+\sqrt{-3}}{2} \cdot (a + b\sqrt{-3})^3$ then since m, n are integers, both a and b must be odd. But then $(-1 + \sqrt{-3})(a + b\sqrt{-3})$ has integer coefficients that are even, as does $(a + b\sqrt{-3})^2$, so the product $m + n\sqrt{-3}$ would have both m and n even, contrary to assumption.
- Therefore, we must have $m + n\sqrt{-3} = (a + b\sqrt{-3})^3 = (a^3 - 9ab^2) + (3a^2b - 3b^3)\sqrt{-3}$ and so $m = a^3 - 9ab^2$ and $n = 3a^2b - 3b^3$, as claimed.

We can now essentially give Euler's treatment of the n = 3 case of Fermat's equation:

Theorem (Euler's p = 3 Case of Fermat's Theorem)

There are no solutions to the Diophantine equation $x^3 + y^3 = z^3$ with $xyz \neq 0$.

As with the n = 4 case that we did a month and a half ago, the idea is to use a descent argument: by assuming there is a nontrivial solution, we will construct a smaller solution, which yields a contradiction if we assume that we start with the solution having the minimal possible |z|.

Some More Diophantine Equations, XI

Proof:

- Assume x, y, z ≠ 0 and suppose we have a solution to the equation with |z| minimal.
- If two of x, y, z are divisible by a prime p then the third must be also, in which case we could divide x, y, z by p and obtain a smaller solution.
- Thus, without loss of generality, we may assume x, y, z are relatively prime, and so two are odd and the other is even.
- By rearranging and negating, suppose that x and y are odd and relatively prime. Set x + y = 2p and x - y = 2q, so that x = p + q and y = p - q, where p, q are necessarily relatively prime of opposite parity. We then obtain a factorization $z^3 = x^3 + y^3 = (x + y)(x^2 - xy + y^2) = 2p \cdot (p^2 + 3q^2).$
- We now proceed in two cases: where $3 \nmid p$ and where $3 \mid p$.

<u>Proof</u> (Case $3 \nmid p$, Start):

- Suppose 3 ∤ p. Since p² + 3q² is odd, any common divisor of 2p and p² + 3q² necessarily divides p and p² + 3q², hence also divides p and 3q². Furthermore, since 3 ∤ p this means any common divisor of p and 3q² divides both p and q², but these elements are relatively prime.
- Thus, 2p and p² + 3q² are relatively prime, so since their product is a cube, each must be a cube up to a unit factor in Z, hence are actually cubes.
- By the lemma, we then have $p = a^3 9ab^2$ and $q = 3a^2b 3b^3$ for some $a, b \in \mathbb{Z}$, and we also know 2p = 2a(a 3b)(a + 3b) is a cube.

Some More Diophantine Equations, XIII

<u>Proof</u> (Case $3 \nmid p$, Finish):

- We have p = a³ 9ab² and q = 3a²b 3b³ for some a, b ∈ Z, and 2p = 2a(a 3b)(a + 3b) is a cube.
- We see that 2a, a 3b, a + 3b must be pairwise relatively prime, since any common divisor would necessarily divide 2a and 6b hence divide 6, but a cannot be divisible by 3 (since then p, q would both be divisible by 3) and a, b cannot have the same parity (since then both p, q would be even).
- Therefore, since their product is a cube in \mathbb{Z} , each of 2a, a - 3b, and a + 3b must be a cube in \mathbb{Z} . But then if $2a = z_1^3$, $a - 3b = x_1^3$, and $a + 3b = y_1^3$, we have $x_1^3 + y_1^3 = z_1^3$, and clearly we also have $0 < |z_1| < |a| < |r| < |z|$.
- We have therefore found a solution to the equation with a smaller value of *z*, which is a contradiction.

Proof (Case 3|p, Start):

- The case 3|p is similar: write p = 3s and note q, s are relatively prime of opposite parity with $z^3 = 18s \cdot (3s^2 + q^2)$.
- Since q cannot be divisible by 3 and $3s^2 + q^2$ is odd, any common divisor of 18s and $3s^2 + q^2$ must divide s and $3s^2 + q^2$ hence divides s and q^2 , but these are relatively prime.
- Thus 18s and $3s^2 + q^2$ are relatively prime, so they are each cubes.
- By the lemma again, we have $q = a^3 9ab^2$ and $s = 3a^2b 3b^3$, where $18s = 3^3 \cdot 2b(a-b)(a+b)$ is a perfect cube.

<u>Proof</u> (Case 3|p, Finish):

- We have $q = a^3 9ab^2$ and $s = 3a^2b 3b^3$, where $18s = 3^3 \cdot 2b(a-b)(a+b)$ is a perfect cube.
- Like before, any common divisor of any pair of 2b, a b, a + b must divide 2a and 2b hence divide 2, but a, b must have opposite parity since otherwise q, s would both be even.
- Thus, 2b, a b, and a + b are all perfect cubes. But then if $a + b = z_1^3$, $a b = x_1^3$, and $2b = y_1^3$, we have $x_1^3 + y_1^3 = z_1^3$, and clearly we also have $0 < |z_1| = |a + b| < |s| < |z|$.
- We have again found a solution to the equation with a smaller value of z, which is a contradiction. Since we have reached a contradiction in both cases, we are done.

Summary

We characterized the primes in $\mathcal{O}_{\sqrt{-3}}$, described how to compute factorizations in $\mathcal{O}_{\sqrt{-3}}$, and characterized the integers of the form $a^2 + ab + b^2$ and $a^2 + 3b^2$.

We solved some Diophantine equations using factorization in quadratic integer rings.

We established that the Fermat equation $x^3 + y^3 = z^3$ has no nontrivial integer solutions.

Next lecture: Cubic reciprocity.