Math 4527 (Number Theory 2) Lecture #28 of 37  $\sim$  March 29, 2021

Factorization in  $\mathbb{Z}[i]$ ,  $\mathbb{Z}[\sqrt{-2}]$ , and  $\mathcal{O}_{\sqrt{-3}}$ .

- Factorization in  $\mathbb{Z}[i]$  and Sums of Two Squares
- Factorization in  $\mathbb{Z}[\sqrt{-2}]$ , and  $\mathcal{O}_{\sqrt{-3}}$

This material represents §8.3.1-8.3.2 from the course notes.

### Recall

Last time, we proved the Kummer-Dedekind factorization theorem:

#### Theorem (Factorization of (p) in $\mathcal{O}_D$ )

Let p be a prime and let  $q(x) = \begin{cases} x^2 - D & \text{for } D \equiv 2,3 \mod 4 \\ x^2 - x - (D-1)/4 & \text{for } D \equiv 1 \mod 4 \end{cases}, \text{ where}$  $\omega = \begin{cases} \sqrt{D} & \text{for } D \equiv 2,3 \mod 4 \\ (1+\sqrt{D})/2 & \text{for } D \equiv 1 \mod 4 \end{cases} \text{ is a root of } q(x).$ If the polynomial q(x) has a repeated root r modulo p then the ideal  $(p) = (p, \omega - r)^2$  is the square of a prime ideal of norm p in  $\mathcal{O}_D$ , if q(x) is irreducible modulo p then the ideal (p) is prime in  $\mathcal{O}_D$  of norm  $p^2$ , and if q(x) is reducible with distinct roots r, r' modulo p, then  $(p) = (p, \omega - r) \cdot (p, \omega - r')$  factors as the product of two distinct ideals in  $\mathcal{O}_D$  each of norm p.

This theorem tells us how to find prime ideals in  $\mathcal{O}_D$ .

Now we will discuss factorization in the Gaussian integers  $\mathbb{Z}[i]$ , which we have already shown to be a Euclidean domain, a principal ideal domain, and a unique factorization domain.

- We need only analyze the factorization of primes p, which is fully determined by the ideal factorization of (p) inside Z[i].
- Because  $N(a + bi) = a^2 + b^2$ , factorization in  $\mathbb{Z}[i]$  is closely related to the question of writing an integer as the sum of two squares, and so by analyzing prime factorizations in  $\mathbb{Z}[i]$ , we can classify the integers that can be written as the sum of two squares.

Our first task is to write down the irreducible elements in  $\mathbb{Z}[i]$ :

#### Theorem (Irreducibles in $\mathbb{Z}[i])$

Up to associates, the irreducible elements in  $\mathbb{Z}[i]$  are as follows:

- 1. The element 1 + i (of norm 2).
- 2. The primes  $p \in \mathbb{Z}$  congruent to 3 modulo 4 (of norm  $p^2$ ).
- 3. The distinct irreducible factors a + bi and a bi (each of norm p) of  $p = a^2 + b^2$  where  $p \in \mathbb{Z}$  is congruent to 1 modulo 4.

There are various ways to prove this result using modular arithmetic (which I usually discuss in Math 3527), but we can establish this result directly from our theorem on factoring the ideal (p).

# Factorization in $\mathbb{Z}[i]$ , III

#### Proof:

- Since Z[i] is Euclidean, we may equivalently find the ideal factors of the ideals (p) for integer primes p, which we may do by factoring q(x) = x<sup>2</sup> + 1 modulo p.
- For p = 2 we have  $x^2 + 1 \equiv (x 1)^2 \mod 2$ . This gives the ideal factorization  $(2) = (2, i + 1)^2$ , yielding the element factorization  $2 = -(i + 1)^2$ .
- For  $p \equiv 3 \mod 4$ , we claim  $x^2 + 1$  is irreducible modulo p.
- To see this note that  $\left(\frac{-1}{p}\right) \equiv (-1)^{(p-1)/2} \equiv -1 \pmod{p}$  by Euler's criterion, so -1 is not a square mod p.
- Thus, (p) is prime in ℤ[i], so the element p is irreducible and its norm is p<sup>2</sup>.

## Factorization in $\mathbb{Z}[i]$ , IV

<u>Proof</u> (continued):

- Finally, suppose  $p \equiv 1 \mod 4$ : then  $\left(\frac{-1}{p}\right) \equiv (-1)^{(p-1)/2} \equiv 1 \pmod{p}$  by Euler's criterion, so  $x^2 + 1$  factors modulo p, say as  $x^2 + 1 \equiv (x r)(x + r) \pmod{p}$ .
- This gives the ideal factorization  $(p) = (p, i r) \cdot (p, i + r)$ .
- Since Z[i] is a PID, the ideal (p, i + r) is principal, say (a + bi) for some a, b which we can compute by applying the Euclidean algorithm to p and i + r. Then the conjugate ideal (p, r − i) = (p, i − r) is equal to (a − bi).
- This yields the ideal factorization (p) = (a + bi)(a bi) and so we get the element factorization p = (a + bi)(a - bi) up to a unit factor, which by rescaling we may assume is 1.
- This means  $p = (a + bi)(a bi) = a^2 + b^2$ , and we have  $N(a + bi) = a^2 + b^2 = p = N(a bi)$ , so both irreducible factors have norm p as claimed.

With the list of prime elements in hand, we can give a procedure for finding the prime factorization of an arbitrary Gaussian integer:

- First, find the prime factorization of N(a + bi) = a<sup>2</sup> + b<sup>2</sup> over the integers Z, and write down a list of all (rational) primes p ∈ Z dividing N(a + bi).
- Second, for each p on the list, find the factorization of p over the Gaussian integers ℤ[i].
- Finally, use trial division to determine which of these irreducible elements divide a + bi in  $\mathbb{Z}[i]$ , and to which powers. (The factorization of N(a + bi) can be used to determine the expected number of powers.)

### <u>Example</u>: Find the factorization of 7 - 11i into irreducibles in $\mathbb{Z}[i]$ .

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- We compute  $N(7 11i) = 7^2 + (-11)^2 = 170 = 2 \cdot 5 \cdot 17$ .
- Over  $\mathbb{Z}[i]$ , we find the factorizations  $2 = -i(1+i)^2$ , 5 = (2+i)(2-i), and 17 = (4+i)(4-i).
- Now we just do trial division to find the correct elements dividing 4 + 22*i*: we will get one copy of 1 + *i*, one element from {2 + *i*, 2 *i*}, and one from {4 + *i*, 4 *i*}.
- Doing the trial division yields the factorization 7 11i = -i(1 + i)(2 i)(4 + i).

<u>Example</u>: Find the factorization of 4 + 22i into irreducibles in  $\mathbb{Z}[i]$ .

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- We compute N(4 + 22i) = 4<sup>2</sup> + 22<sup>2</sup> = 2<sup>2</sup> ⋅ 5<sup>3</sup>. The primes dividing N(4 + 22i) are 2 and 5.
- Over  $\mathbb{Z}[i]$ , we find the factorizations  $2 = -i(1+i)^2$  and 5 = (2+i)(2-i).
- Now we just do trial division to find the correct powers of each of these elements dividing 4 + 22*i*.
- Since  $N(4+22i) = 2^2 \cdot 5^3$ , we should get two copies of (1+i) and three elements from  $\{2+i, 2-i\}$ .
- Doing the trial division yields the factorization  $4 + 22i = -i \cdot (1+i)^2 \cdot (2+i)^3$ . (Note that in order to have powers of the same irreducible element, we left the unit -i in front of the factorization.)

The primes appearing in the example above were small enough to factor over  $\mathbb{Z}[i]$  by inspection, but if  $p \equiv 1 \pmod{4}$  is large then it is not so obvious how to factor p in  $\mathbb{Z}[i]$ . We briefly explain how to find this expression algorithmically.

- We have the ideal factorization (p) = (p, i + r) ⋅ (p, i r) and then use the Euclidean algorithm to write (p, i + r) = (a + bi). Thus, all we need to do is find a root r of the polynomial x<sup>2</sup> + 1 (mod p), which is equivalent to finding a square root of −1 modulo p.
- We can do this using Euler's criterion: for any quadratic nonresidue u modulo p, Euler's criterion tells us that  $u^{(p-1)/2} \equiv -1 \pmod{p}$ , and so  $u^{(p-1)/4}$  will be a square root of -1.

There is no general formula for identifying a quadratic nonresidue modulo an arbitrary prime p, but we can just search small residue classes (or random residue classes) until we find one.

• Indeed, we don't even need to test whether u is a quadratic residue: we can just try calculating  $u^{(p-1)/4}$ , which will either be a square root of -1 or a square root of +1, but in the latter case we will get  $\pm 1$  and thus know we need to try a different u.

• Then, as noted on the last slide, to compute the solution to  $p = a^2 + b^2$  we can use the Euclidean algorithm in  $\mathbb{Z}[i]$  to find a greatest common divisor of p and r + i in  $\mathbb{Z}[i]$ : the result will be an element  $\pi = a + bi$  with  $a^2 + b^2 = p$ .

Example: Express the prime p = 3329 as the sum of two squares using the fact that  $3^{(p-1)/4} \equiv 1729 \pmod{p}$ .

Example: Express the prime p = 3329 as the sum of two squares using the fact that  $3^{(p-1)/4} \equiv 1729 \pmod{p}$ .

- Our discussion on the last slides tells us that 1729 is a square root of -1 modulo p: indeed, we can double-check by computing 1729<sup>2</sup> + 1 = 898 · 3329.
- Now we compute the gcd of 1729 + i and 3329 in ℤ[i] using the Euclidean algorithm:

$$3329 = 2(1729 + i) + (-129 - 2i)$$
  

$$1729 + i = -13(-129 - 2i) + (52 - 25i)$$
  

$$-129 - 2i = (-2 - i)(52 - 25i)$$

• The last nonzero remainder is 52 - 25i, and indeed we can see that  $3329 = 52^2 + 25^2$ .

As a corollary to our characterization of the irreducible elements in  $\mathbb{Z}[i]$ , we can deduce the following theorem of Fermat on when an integer is the sum of two squares:

#### Theorem (Fermat's Characterization of Sums of Two Squares)

Let n be a positive integer, and write  $n = 2^k p_1^{n_1} \cdots p_k^{n_k} q_1^{m_1} \cdots q_d^{m_d}$ , where  $p_1, \cdots, p_k$  are distinct primes congruent to 1 modulo 4 and  $q_1, \cdots, q_d$  are distinct primes congruent to 3 modulo 4. Then n can be written as a sum of two squares in  $\mathbb{Z}$  if and only if all the  $m_i$  are even. Furthermore, in this case, the number of ordered pairs of integers (A, B) such that  $n = A^2 + B^2$  is equal to  $4(n_1 + 1)(n_2 + 1) \cdots (n_k + 1)$ .

#### Proof:

- Observe that the question of whether *n* can be written as the sum of two squares  $n = A^2 + B^2$  is equivalent to the question of whether *n* is the norm of a Gaussian integer A + Bi.
- Write  $A + Bi = \rho_1 \rho_2 \cdots \rho_r$  as a product of irreducibles (unique up to units), and take norms to obtain  $n = N(\rho_1) \cdot N(\rho_2) \cdots N(\rho_r)$ .
- By our classification, if  $\rho$  is irreducible in  $\mathbb{Z}[i]$ , then  $N(\rho)$  is either 2, a prime congruent to 1 modulo 4, or the square of a prime congruent to 3 modulo 4. Hence there exists such a choice of  $\rho_i$  with  $n = \prod N(\rho_i)$  if and only if all the  $m_i$  are even.

### <u>Proof</u> (continued):

- For the counting, since the factorization of A + Bi is unique, to find the number of possible pairs (A, B), we need only count the number of ways to select terms for A + Bi and A - Bi from the factorization of *n* over  $\mathbb{Z}[i]$ , which is  $n = i^{-k}(1+i)^{2k}(\pi_1\overline{\pi_1})^{n_1}\cdots(\pi_k\overline{\pi_k})^{n_k}q_1^{m_1}\cdots q_d^{m_d}$ .
- Up to associates, we must choose  $A + Bi = (1+i)^k (\pi_1^{a_1} \overline{\pi_1}^{b_1}) \cdots (\pi_k^{a_k} \overline{\pi_k}^{b_k}) q_1^{m_1/2} \cdots q_d^{m_d/2},$ where  $a_i + b_i = n_i$  for each  $1 \le i \le k$ .
- Since there are n<sub>i</sub> + 1 ways to choose the pair (a<sub>i</sub>, b<sub>i</sub>), and 4 ways to multiply A + Bi by a unit, the total number of ways is 4(n<sub>1</sub> + 1) · · · (n<sub>k</sub> + 1), as claimed.

### Sums of Two Squares, IV

<u>Example</u>: Find all ways of writing  $n = 6649 = 61 \cdot 109$  as the sum of two squares.

Example: Find all ways of writing  $n = 6649 = 61 \cdot 109$  as the sum of two squares.

- Note *n* is the product of two primes each congruent to 1 modulo 4, so it can be written as the sum of two squares in 16 different ways.
- We compute  $61 = 5^2 + 6^2$  and  $109 = 10^2 + 3^2$  (either by the algorithm earlier or by inspection), so the 16 ways can be found from the different ways of choosing one of  $5 \pm 6i$  and multiplying it with  $10 \pm 3i$ .
- Explicitly: (5+6i)(10+3i) = 32+75i, and (5+6i)(10-3i) = 68+45i, so we obtain the sixteen ways of writing 6649 as the sum of two squares as (±32)<sup>2</sup> + (±75)<sup>2</sup>, (±68)<sup>2</sup> + (±45)<sup>2</sup>, and the eight other decompositions with the terms interchanged.

We can use a similar approach to the one we used in  $\mathbb{Z}[i]$  to study factorization in  $\mathcal{O}_{\sqrt{-2}} = \mathbb{Z}[\sqrt{2}]$  and  $\mathcal{O}_{\sqrt{-3}} = \mathbb{Z}[\frac{1+\sqrt{-3}}{2}]$ , which in turn allows us to characterize the integers of the form  $a^2 + 2b^2$  and  $a^2 + 3b^2$ .

- We will start with  $\mathbb{Z}[\sqrt{-2}]$ .
- By using a similar proof to the one we used for  $\mathbb{Z}[i]$ , we can establish that  $\mathcal{O}_{\sqrt{-2}}$  is a Euclidean domain, hence is also a PID and a UFD.

• Also, the units in 
$$\mathcal{O}_{\sqrt{-2}}$$
 are simply  $\pm 1$ .

Our first task is to write down the irreducible elements:

Theorem (Irreducibles in  $\mathcal{O}_{\sqrt{-2}}$ )

Up to associates, the irreducible elements in  $\mathcal{O}_{\sqrt{-2}}$  are as follows:

- 1. The element  $\sqrt{-2}$  (of norm 2).
- 2. The primes  $p \in \mathbb{Z}$  congruent to 5 or 7 modulo 8 (of norm  $p^2$ ).
- The distinct irreducible factors a + b√-2 and a b√-2 (each of norm p) of p = a<sup>2</sup> + 2b<sup>2</sup> where p ∈ Z is congruent to 1 or 3 modulo 8.

The proof of this theorem is essentially the same as the one for the Gaussian integers, except that we have to factor  $x^2 + 2 \mod p$  rather than  $x^2 + 1$ .

# Factorization in $\mathbb{Z}[\sqrt{-2}]$ , III

#### Proof:

- Since  $\mathbb{Z}[\sqrt{-2}]$  is Euclidean, we may equivalently find the ideal factors of the ideals (p) for integer primes p, which we may do by factoring  $q(x) = x^2 + 2$  modulo p.
- For p = 2 we have  $x^2 + 2 \equiv x^2 \mod 2$ , so we get the ideal factorization  $(2) = (\sqrt{-2})^2$ , yielding the element factorization  $2 = -(\sqrt{-2})^2$ .
- For p ≡ 5 or 7 mod 8, the polynomial x<sup>2</sup> + 2 is irreducible modulo p: from one of the "secondary" relations from quadratic reciprocity, we know that -2 is a square modulo p if and only if p is congruent to 1 or 3 mod 8. Thus, for p ≡ 5 or 7 mod 8, the ideal (p) is prime, so the element p is also prime.

## Factorization in $\mathbb{Z}[\sqrt{-2}]$ , IV

<u>Proof</u> (continued):

- If  $p \equiv 1$  or 3 mod 8, the polynomial  $x^2 + 2$  factors modulo p, say as  $x^2 + 2 \equiv (x r)(x + r) \pmod{p}$ . Then we get the ideal factorization  $(p) = (p, \sqrt{-2} r) \cdot (p, \sqrt{-2} + r)$ .
- Since  $\mathbb{Z}[\sqrt{-2}]$  is a PID, we have  $(p, \sqrt{-2} + r) = (a + b\sqrt{-2})$  for some *a*, *b* that we can compute by applying the Euclidean algorithm to *p* and  $\sqrt{-2} + r$ . The conjugate ideal  $(p, r \sqrt{-2}) = (p, \sqrt{-2} r)$  is then  $(a b\sqrt{-2})$ .
- This yields the ideal factorization  $(p) = (a + b\sqrt{-2})(a - b\sqrt{-2})$  and so we get the element factorization  $p = (a + b\sqrt{-2})(a - b\sqrt{-2})$  up to a unit factor, which by rescaling we may assume is 1.
- Then  $p = (a + b\sqrt{-2})(a b\sqrt{-2}) = a^2 + 2b^2$ , and we have  $N(a + b\sqrt{-2}) = a^2 + 2b^2 = p = N(a b\sqrt{-2})$ , so both irreducible factors have norm p as claimed.

### Factorization in $\mathbb{Z}[\sqrt{-2}]$ , V

We can use the same general factorization procedure as in  $\mathbb{Z}[i]$  to compute element factorizations in  $\mathbb{Z}[\sqrt{-2}]$ .

- First, find the prime factorization of N(a + b√-2) = a<sup>2</sup> + 2b<sup>2</sup> over the integers Z, and write down a list of all (rational) primes p ∈ Z dividing N(a + b√-2).
- Second, for each p on the list, find the factorization of p in the ring in  $\mathbb{Z}[\sqrt{-2}]$ , which we can do by solving  $p = a^2 + 2b^2$  in integers a, b for  $p \equiv 1, 3 \pmod{8}$ .
- We can find this factorization by inspection for small p, and for large p we can find a solution by solving the quadratic r<sup>2</sup> ≡ -D (mod p) and then using the Euclidean algorithm to compute the gcd a + b√-D of p and √-D + r in O√-D.
- Finally, use trial division to determine which irreducible elements divide  $a + b\sqrt{-D}$  in  $\mathcal{O}_{\sqrt{-D}}$  and to which powers.

# Factorization in $\mathbb{Z}[\sqrt{-2}]$ , VI

### <u>Example</u>: Find the prime factorization of $47 + 32\sqrt{-2}$ in $\mathbb{Z}[\sqrt{-2}]$ .

<u>Example</u>: Find the prime factorization of  $47 + 32\sqrt{-2}$  in  $\mathbb{Z}[\sqrt{-2}]$ .

• We compute  $N(47 + 32\sqrt{-2}) = 47^2 + 2 \cdot 32^2 = 3^2 \cdot 11 \cdot 43$ , so the primes dividing the norm are 3, 11, and 43.

• Over 
$$\mathbb{Z}[\sqrt{-2}]$$
, we find the factorizations  
 $3 = 1^2 + 2 \cdot 1^2 = (1 + \sqrt{-2})(1 - \sqrt{-2}),$   
 $11 = 3^2 + 2 \cdot 1^2 = (3 + \sqrt{-2})(3 - \sqrt{-2})$  and  
 $43 = 5^2 + 2 \cdot 3^2 = (5 + 3\sqrt{-2})(5 - 3\sqrt{-2}).$ 

- Now we just do trial division to find the correct powers of each of these elements dividing  $47 + 32\sqrt{-2}$ : we will get two of  $1 \pm \sqrt{-2}$  and one each of  $3 \pm \sqrt{-2}$  and  $5 \pm 3\sqrt{-2}$ .
- Doing the trial division yields the factorization  $47 + 32\sqrt{-2} = (1 + \sqrt{-2})^2(3 - \sqrt{-2})(5 - 3\sqrt{-2}).$

We can use our characterization of primes in  $\mathbb{Z}[\sqrt{-2}]$  to describe the integers that can be represented by the quadratic form  $a^2 + 2b^2$ :

#### Theorem (Integers of the Form $a^2 + 2b^2$ )

Let n be a positive integer, and write  $n = 2^k p_1^{n_1} \cdots p_k^{n_k} q_1^{m_1} \cdots q_d^{m_d}$ , where  $p_1, \cdots, p_k$  are distinct primes congruent to 1 or 3 modulo 8 and  $q_1, \cdots, q_d$  are distinct primes congruent to 5 or 7 modulo 8. Then n can be written in the form  $a^2 + 2b^2$  for integers a, b if and only if all the  $m_i$  are even. Furthermore, in this case, the number of ordered pairs of integers (A, B) such that  $n = A^2 + 2B^2$  is equal to  $2(n_1 + 1)(n_2 + 1) \cdots (n_k + 1)$ .

# Factorization in $\mathbb{Z}[\sqrt{-2}]$ , VIII

#### Proof:

- The question of whether *n* can be written as  $n = A^2 + 2B^2$  is equivalent to the question of whether *n* is the norm of an element  $A + B\sqrt{-2} \in \mathbb{Z}[\sqrt{-2}]$ .
- Write  $A + B\sqrt{-2} = \rho_1 \rho_2 \cdots \rho_r$  as a product of irreducibles (unique up to units), and take norms to obtain  $n = N(\rho_1) \cdot N(\rho_2) \cdots N(\rho_r)$ .
- By the classification of primes in Z[√-2], if ρ is irreducible in Z[√-2], then N(ρ) is either 2, a prime congruent to 1 or 3 modulo 8, or the square of a prime congruent to 5 or 7 modulo 8. Hence there exists such a choice of ρ<sub>i</sub> with n = ∏ N(ρ<sub>i</sub>) if and only if all the m<sub>i</sub> are even.

#### <u>Proof</u> (continued):

- For the counting, since the factorization of  $A + B\sqrt{-2}$  is unique, to find the number of possible pairs (A, B), we need only count the number of ways to select terms for  $A + B\sqrt{-2}$ and  $A - B\sqrt{-2}$  from the factorization of *n* over  $\mathbb{Z}[\sqrt{-2}]$ , which is  $n = (-1)^k (\sqrt{-2})^{2k} (\pi_1 \overline{\pi_1})^{n_1} \cdots (\pi_k \overline{\pi_k})^{n_k} q_1^{m_1} \cdots q_d^{m_d}$ .
- Up to associates, we must choose  $A + B\sqrt{-2} = (\sqrt{-2})^k (\pi_1^{a_1} \overline{\pi_1}^{b_1}) \cdots (\pi_k^{a_k} \overline{\pi_k}^{b_k}) q_1^{m_1/2} \cdots q_d^{m_d/2},$ where  $a_i + b_i = n_i$  for each  $1 \le i \le k$ .
- Since there are n<sub>i</sub> + 1 ways to choose the pair (a<sub>i</sub>, b<sub>i</sub>), and 2 ways to multiply A + B√-2 by a unit, the total number of ways is 2(n<sub>1</sub> + 1) ··· (n<sub>k</sub> + 1), as claimed.

<u>Example</u>: Determine whether 21, 101, and 292 can be written in the form  $a^2 + 2b^2$  for integers *a* and *b*.

Example: Determine whether 21, 101, and 292 can be written in the form  $a^2 + 2b^2$  for integers *a* and *b*.

- We have 21 = 3 ⋅ 7. Since there is a prime congruent to 7 mod 8 that occurs to an odd power, 21 is not of the form a<sup>2</sup> + 2b<sup>2</sup>.
- The integer 101 is prime, and it is congruent to 5 modulo 8. Therefore, it cannot be written in the form  $a^2 + 2b^2$ .
- We have  $292 = 2^2 \cdot 73$ . Since 73 is congruent to 1 modulo 8, each odd prime is congruent to 1 or 3 modulo 8, so 292 can be written in the form  $a^2 + 2b^2$ .

## Summary

We characterized the primes in  $\mathbb{Z}[i]$ , described how to compute factorizations in  $\mathbb{Z}[i]$ , and characterized the integers that are sums of two squares.

We characterized the primes in  $\mathbb{Z}[\sqrt{-2}]$ , described how to compute factorizations in  $\mathbb{Z}[\sqrt{-2}]$ , and characterized the integers of the form  $a^2 + 2b^2$ .

Next lecture: Factorization in  $\mathcal{O}_{\sqrt{-3}}$ , Diophantine equations