Math 2321 (Multivariable Calculus) Lecture #30 of 37 \sim April 1st, 2021

Path-Independence, Conservative Fields, Potential Functions

- Path-Independence of Work Integrals
- The Fundamental Theorem of Line Integrals
- Conservative Vector Fields and Potential Functions
- Divergence and Curl

This material represents $\S4.4$ from the course notes.

Today we will discuss more with work integrals in 2 and 3 dimensions.

• Remember that the work done by a vector field **F** on a particle that travels along a plane curve *C* is Work = $\int_C P \, dx + Q \, dy$, or along a space curve it is Work = $\int_C P \, dx + Q \, dy + R \, dz$.

What we will investigate now is whether there is any relation between the work integrals $\int_{C_1} \mathbf{F} \cdot d\mathbf{r}$ and $\int_{C_2} \mathbf{F} \cdot d\mathbf{r}$ for two different curves C_1 and C_2 .

<u>Example</u>: Let $F(x, y) = \langle y, x \rangle$. Evaluate the work integrals from (0,0) to (1,1) along the paths

1.
$$C_1: (x, y) = (t, t)$$
, for $0 \le t \le 1$.
2. $C_2: (x, y) = (t^3, t^2)$, for $0 \le t \le 1$.
3. $C_3: (x, y) = (t^7, t^{10})$, for $0 \le t \le 1$.

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3. $C_3 : (x, y) = (t^7, t^{10})$, for $0 \le t \le 1$.
• On C_1 , $\mathbf{F} = \langle t, t \rangle$, so the work is
 $\int_{C_1} \mathbf{F} \cdot d\mathbf{r} = \int_0^1 [t \cdot 1 + t \cdot 1] dt = \int_0^1 2t dt = 1$.
• On C_2 , $\mathbf{F} = \langle t^2, t^3 \rangle$, so the work is
 $\int_{C_2} \mathbf{F} \cdot d\mathbf{r} = \int_0^1 [t^2 \cdot 3t^2 + t^3 \cdot 2t] dt = \int_0^1 5t^4 dt = 1$.
• On C_3 , $\mathbf{F} = \langle t^{10}, t^7 \rangle$, so the work is
 $\int_{C_3} \mathbf{F} \cdot d\mathbf{r} = \int_0^1 [t^{10} \cdot 7t^6 + t^7 \cdot 10t^9] dt = \int_0^1 17t^{16} dt = 1$.

Notice all three yield the same value!

Example: Let $\mathbf{G}(x, y) = \langle y^2, x \rangle$. Evaluate the work integrals from (0,0) to (1,1) along the paths

1. $C_1: (x, y) = (t, t)$, for $0 \le t \le 1$. 2. $C_2: (x, y) = (t^3, t^2)$, for $0 \le t \le 1$. 3. $C_3: (x, y) = (t^7, t^{10})$, for $0 \le t \le 1$. <u>Example</u>: Let $\mathbf{G}(x, y) = \langle y^2, x \rangle$. Evaluate the work integrals from (0,0) to (1,1) along the paths

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$$C_1 : (x, y) = (t, t)$$
, for $0 \le t \le 1$.
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3. $C_3 : (x, y) = (t^7, t^{10})$, for $0 \le t \le 1$.
• On C_1 , $\mathbf{G} = \langle t^2, t \rangle$, so the work is
 $\int_{C_1} \mathbf{G} \cdot d\mathbf{r} = \int_0^1 [t^2 \cdot 1 + t \cdot 1] dt = \int_0^1 (t^2 + t) dt = 5/6$.
• On C_2 , $\mathbf{G} = \langle t^4, t^3 \rangle$, so the work is $\int_{C_2} \mathbf{G} \cdot d\mathbf{r} = \int_0^1 [t^4 \cdot 3t^2 + t^3 \cdot 2t] dt = \int_0^1 (3t^6 + 2t^4) dt = 29/35$.
• On C_3 , $\mathbf{G} = \langle t^{20}, t^7 \rangle$, so the work is $\int_{C_3} \mathbf{G} \cdot d\mathbf{r} = \int_0^1 [t^{30} \cdot 7t^6 + t^7 \cdot 10t^9] dt = \int_0^1 (7t^{36} + 10t^{16}) dt = 389/459$.

Notice all three yield different values!

So, our question is: why is it that all of the work integrals for $\mathbf{F}(x, y) = \langle y, x \rangle$ had the same value, but the work integrals for $\mathbf{G}(x, y) = \langle y^2, x \rangle$ didn't?

- It might be that I just chose misleading examples, and in fact for **F** there are some other paths from (0,0) to (1,1) where the value of the work integral is different.
- This turns out not to be the case (if you like, you can try some other paths!).
- But it's not so obvious why that would be, nor what causes the difference in the behavior between **F** and **G**.

Let's define the property we're interested in, and then try to characterize it in other ways:

Definition

A vector field **F** is <u>conservative</u> on a region R if, for any two paths C_1 and C_2 (inside R) from P_1 to P_2 , it is true that $\int_{C_1} \mathbf{F} \cdot d\mathbf{r} = \int_{C_2} \mathbf{F} \cdot d\mathbf{r}$. In other words, **F** is conservative if any two paths with the same endpoints yield the same work integral.

Here's a starting point: **F** is conservative on a region R if, for any closed curve C in R, $\oint_C \mathbf{F} \cdot d\mathbf{r} = 0$. (A <u>closed curve</u> is one whose start and end points are the same.)

• <u>Notation</u>: For a line integral around a closed curve, we often use the notation \oint_C , the circle being a suggestive example of a closed curve.

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- <u>Notation</u>: For a line integral around a closed curve, we often use the notation \oint_C , the circle being a suggestive example of a closed curve.
- The statement above is equivalent to the definition from the previous slide because, if C_1 and C_2 are two paths from P_1 to P_2 , then we can construct a closed path C by following C_1 from P_1 to P_2 and then following C_2 from P_2 back to P_1 .
- Then $\int_C \mathbf{F} \cdot d\mathbf{r} = \int_{C_1} \mathbf{F} \cdot d\mathbf{r} \int_{C_2} \mathbf{F} \cdot d\mathbf{r}$, and so the left-hand side is zero if and only if the right-hand side is zero.

It turns out that we can give a simple but very useful criterion for when a vector field is conservative:

Theorem (Fundamental Theorem of Calculus for Line Integrals)

The vector field **F** is conservative on a simply-connected region R if and only if there exists a function U, called a <u>potential function</u> for **F**, such that $\mathbf{F} = \nabla U$. If such a function U exists, then $\int_{a}^{b} \mathbf{F} \cdot d\mathbf{r} = U(b) - U(a)$ along any path from a to b.

Notice the similarity of the statement $\int_{a}^{b} \mathbf{F} \cdot d\mathbf{r} = U(b) - U(a)$ to the Fundamental Theorem of Calculus, which relates the integral of a derivative of a function to its values at the endpoints of a path.

A technical note about the hypotheses of the theorem:

- The term "simply-connected" is a technical requirement needed for the proof of the theorem: intuitively, a simply-connected region consists of a single piece that does not have any "holes" in it.
- More rigorously, it means that the region is connected (contains only one "piece") and that if we take any closed loop in the region, we can continuously shrink it to a point without leaving the region.
- The disc x² + y² ≤ 4 is simply-connected, whereas the annulus 1 ≤ x² + y² ≤ 4 is not.

We will show one direction of the proof. (The other we will essentially deduce during the next lecture.)

Conservative Fields, V

<u>Proof</u> (Reverse Direction in 3-Space):

- Suppose $\mathbf{F} = \nabla U$.
- By the multivariable chain rule, if C is the path with x = x(t), y = y(t), and z = z(t) for $a \le t \le b$, then $\frac{dU}{dt} = \frac{\partial U}{\partial x} \cdot \frac{dx}{dt} + \frac{\partial U}{\partial y} \cdot \frac{dy}{dt} + \frac{\partial U}{\partial z} \cdot \frac{dz}{dt}$.
- Then, by the Fundamental Theorem of Calculus, we see

$$\int_{C} \mathbf{F} \cdot d\mathbf{r} = \int_{a}^{b} \left\langle \frac{\partial U}{\partial x}, \frac{\partial U}{\partial y}, \frac{\partial U}{\partial z} \right\rangle \cdot \left\langle \frac{dx}{dt}, \frac{dy}{dt}, \frac{dz}{dt} \right\rangle dt$$
$$= \int_{a}^{b} \left[\frac{\partial U}{\partial x} \cdot \frac{dx}{dt} + \frac{\partial U}{\partial y} \cdot \frac{dy}{dt} + \frac{\partial U}{\partial z} \cdot \frac{dz}{dt} \right] dt$$
$$= \int_{a}^{b} \left[\frac{dU}{dt} \right] dt = U(\mathbf{r}(b)) - U(\mathbf{r}(a))$$

and so **F** is conservative.

The reason for the name "potential function" is because U behaves like a potential-energy function when we interpret **F** as a vector field doing work on a particle.

- Specifically, if $\mathbf{F} = \nabla U$, then the work done by the field \mathbf{F} on a particle traveling from *a* to *b* is equal to U(b) U(a).
- Another way of saying this is: the sum of [the work done by F in moving a particle from the origin to a point P] with [the value -U(P)] is the same for all points P.
- In this guise, the fundamental theorem of line integrals is a conservation of energy statement: the work represents kinetic energy, while the value -U(P) represents the potential energy at P. The sum of these two energies is constant.

If we can see that a vector field is conservative, then it is very easy to compute work integrals: we just need to find a potential function for the vector field.

- Sometimes, you can spot a potential function just by trial and error.
- In a little while, we will discuss a more systematic method for finding potential functions.

Example: Find the work done by the vector field $\mathbf{F}(x,y) = \langle 2x + y, x \rangle$ on a particle traveling along the path $\mathbf{r}(t) = \langle -2\cos(\pi e^t), \tan^{-1}(t) \rangle$ from t = 0 to t = 1.

- If we try to set up the integral directly using the parametrization, it will be rather unpleasant.
- However, this vector field is conservative: it is not hard to see that for U(x, y) = x² + xy, we have ∇U = (2x + y, x) = F.

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- If we try to set up the integral directly using the parametrization, it will be rather unpleasant.
- However, this vector field is conservative: it is not hard to see that for U(x, y) = x² + xy, we have ∇U = (2x + y, x) = F.
- By the Fundamental Theorem of Calculus for line integrals, the work done by the vector field is then simply the value of $U(\mathbf{r}(1)) U(\mathbf{r}(0))$.
- Since $\mathbf{r}(1) = \langle -2\cos(\pi e), \pi/4 \rangle$ and $\mathbf{r}(0) = \langle -2, 0 \rangle$, the work is $U(2, \pi/4) U(-2, 0) = 4\cos^2(\pi e) 2\cos(\pi e) \cdot \pi/4 4$.

Example: Find the work done by the vector field $\mathbf{F}(x,y) = \langle 3x^2, 2y \rangle$ on a particle traveling along the path $\mathbf{r}(t) = \langle 11t^7 - 10t^{19}, \sin^8(\pi\sqrt{t}) \rangle$ from t = 0 to t = 1.

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- This vector field is conservative: for $U(x, y) = x^3 + y^2$, we have $\nabla U = \langle 3x^2, 2y \rangle = \mathbf{F}$.
- By the Fundamental Theorem of Calculus for line integrals, the work done by the vector field is then simply the value of $U(\mathbf{r}(1)) U(\mathbf{r}(0))$.
- Since $\mathbf{r}(1) = \langle 1, 0 \rangle$ and $\mathbf{r}(0) = \langle 0, 0 \rangle$, the work is U(1, 0) U(0, 0) = 3.

We would like to be able to determine easily whether a given vector field is conservative. To do this, we require a preliminary definition of a quantity known as the curl of a vector field.

- Since it has a similar definition and we will be using it later, we may as well also define the divergence now as well.
- Both of these quantities are defined in terms of the differential operator $\nabla = \left\langle \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z} \right\rangle$.
- Indeed, we have already used this operator in the past to define the gradient of a function. There, we have $\nabla f = \left\langle \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z} \right\rangle f = \left\langle \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z} \right\rangle = \langle f_x, f_y, f_z \rangle.$

The divergence of a vector field is a scalar function:

Definition

If $\mathbf{F} = \langle P, Q, R \rangle$ then the <u>divergence</u> of \mathbf{F} is defined to be the scalar function div $\mathbf{F} = \nabla \cdot \mathbf{F} = P_x + Q_y + R_z$.

The curl of a vector field is a vector field:

Definition

If $\mathbf{F} = \langle P, Q, R \rangle$ then the <u>curl</u> of \mathbf{F} is defined to be the vector field curl $\mathbf{F} = \nabla \times \mathbf{F} = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \partial/\partial x & \partial/\partial y & \partial/\partial z \\ P & Q & R \end{vmatrix} =$ $\left\langle \frac{\partial R}{\partial y} - \frac{\partial Q}{\partial z}, \frac{\partial P}{\partial z} - \frac{\partial R}{\partial x}, \frac{\partial Q}{\partial x} - \frac{\partial P}{\partial y} \right\rangle = \langle R_y - Q_z, P_z - R_x, Q_x - P_y \rangle.$

<u>Example</u>: Let $\mathbf{F} = \langle 3x^2y, xyz, e^{xy} \rangle$.

- 1. Calculate the divergence of \mathbf{F} .
- 2. Calculate the curl of \mathbf{F} .

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- 1. Calculate the divergence of \mathbf{F} .
- 2. Calculate the curl of **F**.
- The divergence of $\langle P, Q, R \rangle$ is $P_x + Q_y + R_z$.

• So
$$\operatorname{div}(\mathbf{F}) = 6xy + xz + 0$$
.

• The curl of $\langle P, Q, R \rangle$ is $\langle R_y - Q_z, P_z - R_x, Q_x - P_y \rangle$. The best way to remember this, by the way, is to write down the determinant mnemonic.

• So curl
$$\mathbf{F} = \nabla \times \mathbf{F} = \langle xe^{xy} - xy, 0 - ye^{xy}, yz - 3x^2 \rangle$$
.

We can also define divergence and curl for vector fields in the plane. We simply pretend they have a *z*-coordinate that is zero.

<u>Example</u>: Let $\mathbf{F} = \langle x^3 y^2, \sin(xy) \rangle$.

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We can also define divergence and curl for vector fields in the plane. We simply pretend they have a *z*-coordinate that is zero.

<u>Example</u>: Let $\mathbf{F} = \langle x^3 y^2, \sin(xy) \rangle$.

- 1. Calculate the divergence of \mathbf{F} .
- 2. Calculate the curl of **F**.
- The divergence of $\langle P, Q, 0 \rangle$ is $P_x + Q_y$.
- So $\operatorname{div}(\mathbf{F}) = 3x^2y^2 + x\cos(xy)$.
- The curl of $\langle P, Q, 0 \rangle$ is $\langle 0, 0, Q_x P_y \rangle$, when P and Q do not depend on z.
- So curl $\mathbf{F} = \nabla \times \mathbf{F} = \langle 0, 0, y \cos(xy) 2x^3y \rangle$.

It is quite reasonable at this point to wonder why I am giving you these definitions of divergence and the curl now.

- The reason is that they will be very important in the next few lectures, so I wanted you to be familiar with them now.
- It is also quite reasonable to wonder what exactly the divergence and the curl represent and why they are important. Unfortunately, I cannot tell you the answer without spoiling the main results of the rest of the lectures, so you'll just have to wait a few classes to find out!
- But they have very concrete interpretations in terms of fluid flow.

The main result is that the curl of a vector field determines whether or not it is conservative:

Theorem (Zero Curl Implies Conservative)

A vector field on a simply-connected region in the plane or in 3-space is conservative if and only if its curl is zero. More explicitly, we have the following:

- 1. The vector field $\mathbf{F} = \langle P, Q \rangle$ is conservative on a simply-connected region R in the plane if and only if $P_y = Q_x$.
- The vector field F = ⟨P, Q, R⟩ is conservative on a simply-connected region D in 3-space if and only if P_y = Q_x, P_z = R_x, and Q_z = R_y.

It is fairly easy to see why a conservative field requires the equality of the derivatives of the components.

- Explicitly, if F = ⟨P, Q⟩ = ∇U then P = U_x and Q = U_y, so by the equality of mixed partial derivatives, we see that P_y = U_{xy} = U_{yx} = Q_x.
- The three necessary equalities when $\mathbf{F} = \langle P, Q, R \rangle$ follow in the same way: if $\mathbf{F} = \nabla U$ then $P = U_x$, $Q = U_y$, and $R = U_z$, so $P_y = U_{xy} = U_{yx} = Q_x$, $P_z = U_{xz} = U_{zx} = R_x$, and $Q_z = U_{yz} = U_{zy} = R_y$.
- The converse statement (that zero curl implies the field actually is conservative) is more difficult, and we will skip it for now – in fact, it follows from the results we will cover next.

Our theorems give us an effective procedure for determining whether a field is conservative: we first check whether its curl is zero, and then (if it is) we can try to find a potential function by computing antiderivatives.

- If the field has nonzero curl, we automatically know it is not conservative.
- If the field has zero curl, we know it is conservative, and that there exists a function U with $\mathbf{F} = \nabla U$.
- We can then try to identify *U* by taking antiderivatives of the components of **F**.
- The only tricky part is that we may have to piece together the shape of *U* from all of the partial derivatives, in case there are terms that don't involve all of the variables.

<u>Example</u>: Determine whether $\mathbf{F}(x, y) = \langle x^2 + y, x + y^2 \rangle$ is conservative, and if so, find a potential function.

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- We see $\frac{\partial}{\partial y} \left[x^2 + y \right] = 1 = \frac{\partial}{\partial x} \left[x + y^2 \right]$, so **F** is conservative.
- To find a potential function U with ∇U = F, we need to find U such that U_x = x² + y and U_y = x + y².

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- We see $\frac{\partial}{\partial y} \left[x^2 + y \right] = 1 = \frac{\partial}{\partial x} \left[x + y^2 \right]$, so **F** is conservative.
- To find a potential function U with ∇U = F, we need to find U such that U_x = x² + y and U_y = x + y².
- Taking the antiderivative of $U_x = x^2 + y$ with respect to x yields $U = \frac{1}{3}x^3 + xy + f(y)$, for some function f(y).
- To find f(y) we differentiate: $U_y = x + f'(y)$, so we get $f'(y) = y^2$ so we can take $f(y) = \frac{1}{3}y^3$.
- So a potential function for **F** is $U(x, y) = \frac{1}{3}x^3 + xy + \frac{1}{3}y^3$.

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• For **G**, we see
$$\frac{\partial}{\partial y} [x + y^2] = 2y$$
, while $\frac{\partial}{\partial x} [x^2 + y] = 2x$.

• These are not equal, so the field is not conservative.

• For **H**, we have
$$\frac{\partial}{\partial y}[y+2z] = 1 = \frac{\partial}{\partial x}[x+3z]$$
,
 $\frac{\partial}{\partial z}[y+2z] = 2 = \frac{\partial}{\partial x}[2x+3y]$, and
 $\frac{\partial}{\partial z}[x+3z] = 3 = \frac{\partial}{\partial y}[2x+3y]$, so the field is conservative.

• To find a potential function U with $\nabla U = \mathbf{H}$, we need to find U such that $U_x = y + 2z$, $U_y = x + 3z$, and $U_z = 2x + 3y$.

- To find a potential function U with $\nabla U = \mathbf{H}$, we need to find U such that $U_x = y + 2z$, $U_y = x + 3z$, and $U_z = 2x + 3y$.
- Taking the antiderivative of U_x = y + 2z with respect to x yields U = xy + 2xz + f(y, z), for some function f(y, z).
- To find f(y, z) we differentiate: $x + f_y = x + 3z$ and $2x + f_z = 2x + 3y$, so $f_y = 3z$ and $f_z = 3y$. Repeating the process yields f = 3yz + g(z), where g'(z) = 0.
- Thus we see that a potential function for **H** is U(x, y, z) = xy + 2xz + 3yz.

Example: Determine if $\mathbf{F}(x, y, z) = \langle 3x^2yz^2, x^3z^2 + 2y - z, 2x^3yz - y + 4z \rangle$ is conservative, and if so, find a potential function.

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• For **F**, we have
$$\frac{\partial}{\partial y} [3x^2yz^2] = 3x^2z^2 = \frac{\partial}{\partial x} [x^3z^2 + 2y - z]$$
,
 $\frac{\partial}{\partial z} [3x^2yz^2] = 6x^2yzy = \frac{\partial}{\partial x} [2x^3yz - y + 4z]$, and
 $\frac{\partial}{\partial z} [x^3z^2 + 2y - z] = 2x^3z - 1 = \frac{\partial}{\partial y} [2x^3yz - y + 4z]$, so the field is conservative.

Example: Determine if $\mathbf{F}(x, y, z) = \langle 3x^2yz^2, x^3z^2 + 2y - z, 2x^3yz - y + 4z \rangle$ is conservative, and if so, find a potential function.

• To find a potential function U with $\nabla U = \mathbf{F}$, we need to find U such that $U_x = 3x^2yz^2$, $U_y = x^2z^2 + 2y - z$, and $U_z = 2x^3yz - y + 4z$.

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- To find a potential function U with $\nabla U = \mathbf{F}$, we need to find U such that $U_x = 3x^2yz^2$, $U_y = x^2z^2 + 2y z$, and $U_z = 2x^3yz y + 4z$.
- Looking at U_x , we see that we need a term x^3yz^2 in U.
- If $U = x^3yz^2$ then this accounts for the x^2z^2 term in U_y , but it still needs a 2y z, which we can get by adding $y^2 yz$ to U.
- If $U = x^3yz^2 + y^2 yz$ then U_x and U_y are correct, but U_z is missing the +4z, which we can get by adding $2z^2$ to U.

• So, finally, we get $U = x^3yz^2 + y^2 - yz + 2z^2$, which works.

Example: For the vector field $\mathbf{F}(x, y, z) = \langle 3x^2yz^2, x^3z^2 + 2y - z, 2x^3yz - y + 4z \rangle$, find the work done by \mathbf{F} on a particle that travels along the curve $C : \mathbf{r}(t) = \langle \sin(\pi t), t\sqrt{t+3}, 2t^3 + 2 \rangle$ for $0 \le t \le 1$. Example: For the vector field $\mathbf{F}(x, y, z) = \langle 3x^2yz^2, x^3z^2 + 2y - z, 2x^3yz - y + 4z \rangle$, find the work done by \mathbf{F} on a particle that travels along the curve $C : \mathbf{r}(t) = \langle \sin(\pi t), t\sqrt{t+3}, 2t^3 + 2 \rangle$ for $0 \le t \le 1$.

- We can use the potential function $U = x^3yz^2 y^2 + yz + 2z^2$ we just calculated.
- By the fundamental theorem of line integrals, the work is then $U(\mathbf{r}(1)) U(\mathbf{r}(0)) = U(0, 2, 4) U(0, 0, 2) = 36 0 = 36.$

Summary

We discussed path independence of work integrals, conservative vector fields, and potential functions.

We established the fundamental theorem for line integrals.

We introduced the divergence and curl of a vector field.

We discussed how to establish whether a vector field is conservative by computing its curl.

Next lecture: Green's theorem.