E. Dummit's Math 7362  $\sim$  Number Theory in Function Fields, Fall 2025  $\sim$  Homework 3, due Wed Dec 3rd

Solve whichever problems you haven't seen before that interest you the most (suggestion: between 25 and 40 points' worth). Starred problems are especially recommended. Prepare to present 2-4 problems in class on the due date.

#### 0.1 In-Lecture Exercises

### 0.1.1 Exercises from (Nov 3)

- 1. [1pt] Draw V(x),  $V(x^2)$ , V(y-x),  $V(y-x^2)$ , V(xy), V(x,y), and  $V(y^2-x^3)$  in  $\mathbb{A}^2(\mathbb{R})$ .
- 2. [2pts] Identify I(S) in  $\mathbb{R}[x,y]$  for  $S = \{(t,0) : t \in \mathbb{R}\}$ ,  $\{(t^2,t) : t \in \mathbb{R}\}$ ,  $\{(1,1)\}$ ,  $\{(0,0),(1,1)\}$ ,  $\{(\cos t,\sin t) : t \in \mathbb{R}\}$ , and  $\{(t,\sin t) : t \in \mathbb{R}\}$ .
- 3. [1pt] If k is finite, show that the irreducible affine algebraic sets in  $\mathbb{A}^n(k)$  are  $\emptyset$  and single points.
- 4. [2pts\*] If k is infinite, show that the irreducible affine algebraic sets in  $\mathbb{A}^2(k)$  are  $\emptyset$ ,  $\mathbb{A}^2(k)$ , single points, and curves of the form V(f) for a monic irreducible polynomial  $f \in k[x,y]$ . [Hint: Show that if  $f,g \in k[x,y]$  are relatively prime, then (f,g) contains a nonzero polynomial in k[x] and a nonzero polynomial in k[y].]
- 5. [2pts] Prove Zariski's lemma: a field L that is finitely generated over k as a ring is finitely generated over k as a module. [Hint: Induct on the number of generators.]
- 6. [1pt] Suppose k is algebraically closed. Show that  $\Gamma(V) = \bigcap_{P \in V} \mathcal{O}_P(V)$ : in other words, that a function with no poles is a polynomial.

### 0.1.2 Exercises from (Nov 10)

- 1. [2pts] Suppose k is an infinite field,  $P \in \mathbb{A}^{n+1} \setminus \{0\}$ , and  $f \in k[x_0, \dots, x_n]$ . If we write  $f = f_0 + f_1 + \dots + f_d$  for homogeneous polynomials  $f_i$  of degree i, show that  $f(\lambda P) = 0$  for all  $\lambda \in k^{\times}$  if and only if  $f_i(P) = 0$  for all i. [Hint: Use linear algebra and the fact that Vandermonde determinants are nonvanishing.]
- 2. [2pts] Identify  $V(x_0)$ ,  $V(x_0^2)$ ,  $V(x_1 x_0)$ ,  $V(x_1 x_0^2)$ ,  $V(x_1^2 x_0^2)$ ,  $V(x_0, x_1)$ ,  $V(x_0, x_1, x_2)$ , and  $V(x_0x_1 x_2^2)$  in  $\mathbb{P}^2(k)$ .
- 3. [3pts] Show that all of the basic properties of the affine operators I and V also hold for the projective I and V (suitably modified).
- 4. [2pts] Show that an ideal I of  $k[x_0, \ldots, x_n]$  is homogeneous if and only if I is generated by finitely many homogeneous polynomials.
- 5. [2pts] When V is a nonempty projective algebraic variety with affine cone  $C(V) = \{(x_0, x_1, \ldots, x_n) : [x_0 : x_1 : \cdots : x_n] \in V\} \cup \{(0, 0, \ldots, 0)\}$ , show that  $I_{\text{affine}}(C(V)) = I_{\text{projective}}(V)$ , and when I is a homogeneous ideal with  $V_{\text{projective}}(I) \neq \emptyset$ , show that  $C(V_{\text{projective}}(I)) = V_{\text{affine}}(I)$ .
- 6. [1pt] Show that  $(FG)_* = F_*G_*$ ,  $(fg)^* = f^*g^*$ ,  $(f^*)_* = f$ ,  $(F_*)^* = F/x_0^{v_{x_0}(f)}$ ,  $(F+G)_* = F_* + G_*$ , and  $x_0^{\deg(f) + \deg(g) \deg(f+g)}(f+g)^* = x_0^{\deg(g)}f^* + x_0^{\deg(f)}g^*$ .

# 0.1.3 Exercises from (Nov 12)

1. [1pt] Show that if  $f \in k[X_1, \ldots, X_n]$  is homogeneous of degree d, then  $X_1 f_{X_1} + \cdots + X_n f_{X_n} = df$ . (This is a famous result of Euler.) Deduce that for a homogeneous  $f \in k[X, Y, Z]$ , if two of  $f_X$ ,  $f_Y$ ,  $f_Z$  are zero then the third is as well.

### 0.1.4 Exercises from (Nov 17)

- 1. [2pts] Suppose S is an integral extension of the commutative ring R with 1.
  - (a) Show that R is a field if and only if S is a field.
  - (b) If P is a prime ideal of R, show that there exists a prime ideal Q of S with  $P = Q \cap R$ , and that P is maximal if and only if Q is maximal.
- 2. [3pts] Let L/K be an extension of function fields. Suppose that  $\tilde{P}$  is a prime of L with associated valuation ring  $\mathcal{O}_{\tilde{P}}$  in L and P is a prime of K with associated valuation ring  $\mathcal{O}_{P}$  in K. Show that the following are equivalent:
  - (a)  $P \subseteq \tilde{P}$ .
  - (b)  $\mathcal{O}_P \subseteq \mathcal{O}_{\tilde{P}}$ .
  - (c) There exists an integer  $e \ge 1$  such that  $v_{\tilde{P}}(a) = e \cdot v_{P}(a)$  for all  $a \in K$ .
- 3. [2pts] Show that the relative degree and ramification index compose in towers; explicitly, that if  $\tilde{P}|\tilde{P}$  and  $\tilde{P}|P$  in a tower of extensions M/L/K, then  $e(\tilde{P}|P) = e(\tilde{P}|\tilde{P}) \cdot e(\tilde{P}|P)$  and  $f(\tilde{P}|P) = f(\tilde{P}|\tilde{P}) \cdot f(\tilde{P}|P)$ .
- 4. [1pt\*] For the cubic extension  $\mathbb{R}(t)/\mathbb{R}(t^3)$ , determine all primes lying over  $P_{t^3-0}$ ,  $P_{t^3-1}$ , and  $P_{t^3+8}$  and determine their relative degrees and ramification indices.
- 5. [1pt] In the quadratic extension  $\mathbb{Q}(\sqrt{11})/\mathbb{Q}$  with ring of integers  $\mathbb{Z}[\sqrt{11}]$ , use Dedekind's factorization theorem to determine whether the primes (2), (3), (5), (7), and (11) are split, inert, or ramified.
- 6. [1pt] Show that the norm and conorm of divisors compose in towers.
- 7. [1pt] Show that for any  $a \in K^{\times}$ , it is true that  $\operatorname{Con}_{L/K}[\operatorname{div}_K a] = \operatorname{div}_L(a)$ .
- 8. [1pt] Show that the conorm also respects the positive and negative parts of divisors: explicitly, that for  $a \in K^{\times}$  we have  $\operatorname{Con}_{L/K}(\operatorname{div}_{-,K}a) = \operatorname{div}_{-,L}(a)$  and  $\operatorname{Con}_{L/K}(\operatorname{div}_{+,K}a) = \operatorname{div}_{+,L}(a)$ .
- 9. [1pt] When L/K is separable, show that for any  $\tilde{a} \in L$ , it is true that  $N_{L/K}[\operatorname{div}_L \tilde{a}] = \operatorname{div}_K[N_{L/K} \tilde{a}]$ . (In fact the norm also respects positive and negative parts of divisors.)

### 0.1.5 Exercises from (Nov 19)

- 1. [3pts] Suppose that  $\mathcal{O}_{\tilde{P}} = \mathcal{O}_{P}[\alpha]$  has integral basis  $\{1, \alpha, \dots, \alpha^{n-1}\}$  where  $\alpha$  has minimal polynomial  $f(t) = (t \alpha)(c_{n-1}t^{n-1} + \dots + c_0)$  over K.
  - (a) Show that the dual basis is  $\{\frac{c_0}{f'(\alpha)}, \frac{c_1}{f'(\alpha)}, \dots, \frac{c_{n-1}}{f'(\alpha)}\}$ . [Hint: Use the identity  $\sum_{i=1}^n \frac{r_i^k}{f'(r_i)} \frac{f(t)}{t r_i} = t^k$  where the  $r_i$  are the roots of f.]
  - (b) Show that  $C_{B/A} = f'(\alpha) \cdot B$ . [This connection between the different and the derivative  $f'(\alpha)$  is why it has the name "different".]
- 2. [2pts] Suppose that K/F is a separable extension of the function field F(x)/F and that [K:F(x)] > 1. Show that F(x) has at least one prime that ramifies in K. (This generalizes the classical result that the only unramified extension of  $\mathbb{Q}$  is  $\mathbb{Q}$  itself.)

#### 0.1.6 Exercises from (Dec 1)

- 1. [2pts] Verify that if  $q = p^{2b}$  and  $q > (g+1)^4$ , then taking e = b,  $m = p^b + 2g$ , and  $l = \lfloor \frac{g}{g+1}p^b \rfloor$  satisfies all of the required relations and yields the bound  $N_1(K) \leq 1 + p^b + (p^b + 2g)q = 1 + q + (2g+1)\sqrt{q}$ .
- 2. [2pts] Show that if  $K_n/\mathbb{F}_{q^n}$  is the constant-field extension of  $K/\mathbb{F}_q$  of degree n, then  $\zeta_{K_n}(s^n) = \zeta_K(s)\zeta_K(\omega s)\cdots\zeta_K(\omega^{n-1}s)$  where  $\omega = e^{2\pi i/n}$ . Deduce in particular that  $\zeta_K(-1) = h_{K_2}/h_K$ , a fact we needed to finish the proof of the prime number theorem for K.

## 0.2 Additional Exercises

- 1. [8pts\*] We say an algebraic function field K/F is an <u>elliptic function field</u> if  $g_K = 1$  and there exists at least one prime  $P_0$  of degree 1. As proven in class, if K/F is elliptic then there exist  $x, y \in K$  such that K = F(x, y) and  $y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$  for some  $a_1, a_2, a_3, a_4, a_6 \in F$ .
  - (a) Show that for any degree-0 divisor D, there exists a unique degree-1 prime P of K such that  $D \sim P P_0$ . [Hint: Write down a generator of  $l(D + P_0)$  and consider its positive part.]
  - (b) Show that the mapping  $\varphi$ : [degree-1 primes]  $\to \operatorname{Pic}^0(K)$  via  $\varphi(P) = [P P_0]$  (the class of  $P P_0$ ) is a bijection.
  - (c) Show that the binary operation  $P \oplus Q = \varphi^{-1}(\varphi(P) + \varphi(Q))$  is a group operation on degree-1 primes with identity element  $P_0$ , and that  $P \oplus Q = R$  is equivalent to saying  $P + Q \sim R + P_0$  in the divisor group of K.
  - (d) Show that the operation  $P \oplus Q$  from (3) is the same as the "geometric" group law on the corresponding elliptic curve C defined by saying  $P \oplus Q \oplus R = 0$  precisely when P, Q, R lie on the same line. [Hint: Let f be the line through P, Q, R, g be the line through R and R0, and R1 be the tangent line at R2 which passes through R3. Compare R4 divR5 to R6 divR6.]
- 2. [8pts] Let  $\Lambda$  be a 2-dimensional lattice in  $\mathbb{C}$  (i.e.,  $\Lambda = \mathbb{Z}\alpha + \mathbb{Z}\beta$  where  $\alpha, \beta \in \mathbb{C}^{\times}$  and  $\beta/\alpha$  is not real). An elliptic function with respect to  $\Lambda$  is a meromorphic function f with  $f(z + \lambda) = f(z)$  for all  $z \in \mathbb{C}$  and all  $\lambda \in \Lambda$ . Equivalently, f is a "doubly periodic function" with  $f(z + \alpha) = f(z) = f(z + \beta)$  for all z.
  - (a) Show that the elliptic functions with respect to  $\Lambda$  form a field.
  - (b) Show that the only elliptic functions with no poles are constant. [Hint: Liouville.]
  - (c) Show that the Weierstrass  $\wp$ -function  $\wp(z)=\frac{1}{z^2}+\sum_{\gamma\in\Lambda,\gamma\neq0}\left[\frac{1}{(z-\gamma)^2}-\frac{1}{\gamma^2}\right]$  is an elliptic function with respect to  $\Lambda$ , as is its derivative  $\wp'(z)=-\frac{2}{z^3}-\sum_{\gamma\in\Lambda,\gamma\neq0}\frac{2}{(z-\gamma)^3}$ . [Hint: It is perhaps easier to start with  $\wp'$  since its sum is absolutely convergent.]
  - (d) Show that  $\wp'(z)^2 = 4\wp(z)^3 g_2\wp(z) g_3$  for some constants  $g_2$  and  $g_3$ . [Hint: Consider Laurent series centered at z = 0.]
  - (e) Show that every elliptic function with respect to  $\Lambda$  is an element of  $K = \mathbb{C}(\wp(z), \wp'(z))$  and that K is an elliptic function field (as defined above).
  - **Remark:** The primes of this elliptic function field K correspond to the points in  $\mathbb{C}/\Lambda$ , which is topologically a torus (a genus 1 surface). The group law on this elliptic function field as defined in problem 1 agrees with the natural additive group structure on  $\mathbb{C}/\Lambda$ .
- 3. [2pts] In  $\mathbb{P}^2(k)$ , a <u>line</u> is the vanishing locus of a homogeneous linear polynomial  $l \in k[X, Y, Z]$  such as X + Y = 0 or 2X Y Z = 0. Show that any two distinct lines in  $\mathbb{P}^2(k)$  intersect in exactly one point. (Compare to the affine statement that any two lines are either parallel or intersect in exactly one point.)
- 4. [3pts] Let K be a function field and suppose  $f(t) = a_n t^n + \dots + a_1 t + a_0 \in K[t]$ . Generalize Eisenstein's criterion: if there exists a prime P of K such that  $v_P(a_n) = 0$ ,  $v_P(a_i) \ge v_P(a_0)$  for each  $1 \le i \le n-1$ , and  $v_P(a_0) > 0$  is relatively prime to n, then f(t) is irreducible in K[t]: moreover, for  $L = K(\alpha)$  where  $f(\alpha) = 0$ , show that P is totally ramified in L. [Hint: Write  $-a_n\alpha^n = a_0 + \dots + a_{n-1}\alpha^{n-1}$ ; with  $\tilde{P}|P$ , use valuations to show that  $n \cdot v_{\tilde{P}}(y) = e \cdot v_P(a_0)$  and deduce n = e = [L : K].]