# Math 7359 (Elliptic Curves and Modular Forms)

#### Lecture  $\#10$  of 24  $\sim$  October 12, 2023

Riemann-Roch and Applications

- $L(D)$  and  $I(D)$
- Riemann-Roch and Consequences
- Elliptic Curves via Riemann-Roch

#### **Definition**

If a divisor  $D = \sum_P n_P P$  on a curve  $C/k$  has  $n_P \geq 0$  at all points P, we say D is effective and we write  $D > 0$ . We extend this notion to a partial ordering on divisors by writing  $D_1 \leq D_2$  if and only if  $D_2 - D_1$  is effective.

#### **Definition**

If D is a divisor on a curve  $C/k$ , the Riemann-Roch space associated to D is the set  $L(D) = \{ \alpha \in k(C)^{\times} : \operatorname{div}(\alpha) \geq -D \} \cup \{0\}.$ 

As the last examples suggest, the dimension of the Riemann-Roch space  $L(D)$  carries important information:

#### Definition

If D is a divisor on a curve  $C/k$ , we define  $\ell(D) = \dim_k L(D)$ .

Examples: From our earlier calculations,

- For  $C = \mathbb{A}^1(\mathbb{C})$  we have  $I(P_0) = 2$ ,  $I(3P_\infty) = 4$ , and  $l(-P_0) = 0.$
- For  $C = \mathbb{A}^1(\mathbb{C})$  we have  $I_{\mathbb{C}}(P_\infty P_i) = 1$  and  $l_{\mathbb{C}}(2P_{\infty}-P_i-P_{-i})=1.$
- For an arbitrary C, we have  $\ell(0) = 1$ , since  $L(0) = k$ .

#### Riemann-Roch Dimensions, II

Let's establish some properties of  $I(D)$ :

#### Proposition (Properties of  $I(D)$ )

Let  $C/k$  be a curve and D be a divisor of C. Then

- 1. If  $D_1 \leq D_2$ , then  $\ell(D_1) \leq \ell(D_2)$ .
- 2. If  $D_1 \sim D_2$ , then  $L(D_1) \cong L(D_2)$  and so  $\ell(D_1) = \ell(D_2)$ .
- 3. If deg(D)  $\leq$  0, then  $L(D) = \{0\}$  and  $I(D) = 0$  except when  $D = \text{div}(\alpha)$  is principal, in which case  $L(D) = \text{span}(\alpha)$  and  $I(D) = 1.$
- 4. If  $D_1$  and  $D_2$  are divisors with  $D_1 \leq D_2$ , then  $\dim_k (L(D_2)/L(D_1)) \leq \deg(D_2) - \deg(D_1).$
- 5. For any effective divisor D, we have  $\ell(D) \leq deg(D) + 1$ . In fact, this inequality holds for any divisor D of degree  $> 0$ .
- 6. For any divisor D, the quantity  $\ell(D)$  is finite.

1. If  $D_1 \leq D_2$ , then  $\ell(D_1) \leq \ell(D_2)$ .

Proof:

• This follows immediately from the definition, since  $D_1 \leq D_2$ clearly implies that  $L(D_1)$  is a subspace of  $L(D_2)$ .

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2. If 
$$
D_1 \sim D_2
$$
, then  $L(D_1) \cong L(D_2)$  and so  $\ell(D_1) = \ell(D_2)$ .

- Suppose  $D_1 = D_2 + \text{div}(g)$ .
- Then the map from  $L(D_1)$  to  $L(D_2)$  sending  $f \mapsto fg$  is an isomorphism of vector spaces since it has an inverse map  $h \mapsto h/g$ .

3. If deg(D)  $\leq$  0, then  $L(D) = \{0\}$  and  $I(D) = 0$  except when  $D = \text{div}(\alpha)$  is principal, in which case  $L(D) = \text{span}(\alpha)$  and  $I(D) = 1.$ 

- Suppose  $f \in L(D)$  and  $f \neq 0$ . Then  $0 = \deg(\text{div}(f)) > \deg(-D) = -\deg(D).$
- Furthermore, equality can hold only if  $D = -\text{div}(f)$  for some  $f \in k(C)^{\times}$ , in which case D is principal.
- If D is principal, then  $\ell(D) = \ell(0) = 1$  by (2), and  $L(D) = \text{span}(\alpha)$  by the same calculation.

4. If  $D_1$  and  $D_2$  are divisors with  $D_1 \leq D_2$ , then  $\dim_k (L(D_2)/L(D_1)) \leq \deg(D_2) - \deg(D_1).$ 

Proof (part 1):

- Induct on the sum of the coefficients of the points in the effective divisor  $B - A$ . The base case  $B - A = 0$  is trivial.
- For the inductive step, suppose that  $D_2 = D_1 + P$  for some point P, and choose  $x \in k(C)$  such that  $v_P(x) = v_P(D_2) = v_P(D_1) + 1.$
- Then for any  $y \in L(D_2)$ , we have  $v_P(xy) = v_P(x) + v_P(y) > v_P(D_2) - v_P(D_2) > 0$ , so  $xy \in \mathcal{O}_P$ , the local ring at P.
- $\bullet$  By composing with the evaluation map at P, we obtain a k-linear transformation  $\varphi : L(D_2) \to \mathcal{O}_P/m_P \cong k$  with  $\varphi(y) = (xy)(P).$

4. If  $D_1$  and  $D_2$  are divisors with  $D_1 \leq D_2$ , then  $\dim_k (L(D_2)/L(D_1)) < \deg(D_2) - \deg(D_1).$ 

#### Proof (part 2):

- $\bullet$  By composing with the evaluation map at P, we obtain a k-linear transformation  $\varphi : L(D_2) \to \mathcal{O}_P/m_P \cong k$  with  $\varphi(y) = (xy)(P).$
- Then  $y \in \text{ker}(\varphi)$  if and only if  $(xy)(P) = 0$  if and only if  $v_P(xy) \ge 1$  if and only if  $v_P(y) \ge 1 - v_P(D_2) = -v_P(D_1)$ , and this last statement is equivalent to  $y \in L(D_1)$ .
- Thus, by the first isomorphism theorem, we have an injection from  $L(D_2)/L(D_1)$  to  $\mathcal{O}_P/m_P$ .
- Take dimensions:  $\dim_k(L(D_2)/L(D_1)) \leq \dim_k(\mathcal{O}_P/m_P) = 1$ . This establishes the inductive step. Done.

5. For any effective divisor D, we have  $\ell(D) \leq deg(D) + 1$ . In fact, this inequality holds for any divisor D of degree  $\geq 0$ .

- For effective divisors, this follows immediately by induction on the degree of  $D$  using  $(4)$ , starting with the base case  $l(0) = 1.$
- For general divisors, the result is trivial if  $\ell(D) = 0$ , so suppose otherwise that  $\ell(D) \geq 1$  and let  $\alpha \in L(D)$  be nonzero.
- Then  $\mathrm{div}(\alpha) \geq -D$  which is equivalent to  $D \mathrm{div}(\alpha^{-1}) \geq 0.$
- Then for  $D'=D-{\rm div}(\alpha^{-1}),$  we see that  $D$  is equivalent to the effective divisor  $D'$ , and so by  $(2)$  we have  $\ell(D) = \ell(D') \leq \deg(D') + 1 = \deg(D) + 1$ , as required.

6. For any divisor D, the quantity  $\ell(D)$  is finite.

Proof:

• If deg(D) < 0 then (3) gives  $\ell(D) = 0$ , while if deg(D)  $\geq 0$ then (5) gives  $\ell(D) \leq deg(D) + 1$ .

What we would like to be able to do now is to calculate the actual dimension  $\ell(D)$  for arbitrary divisors D. Rather than delaying the point, let me just state the main result:

#### Theorem (Riemann-Roch)

For any algebraic curve  $C/k$ , there exists an integer  $g \geq 0$  called the genus of  $C$ , and a divisor class  $C$ , called the canonical class of C, such that for any divisor  $C \in \mathcal{C}$  and any divisor  $A \in \text{Div}(K)$ , we have  $\ell(A) = \deg(A) - g + 1 + \ell(C - A)$ .

Remark: The divisor class  $C$ , as I will explain later in our discussion of differentials, is the divisor class associated with the meromorphic differentials of C.

I don't intend to give the full proof of the Riemann-Roch theorem, since it would take us a little far afield of the actual intended path.

- But I may have time later to give a sketch of the argument in concert with our discussion of differentials, or possibly much later when we talk about elliptic curves over C.
- The main obstacle is that we would need to discuss how to define the residue of a function at a pole in the algebraic case, which turns out to be a bit convoluted.
- But in the situation of  $k = \mathbb{C}$ , the residue of a meromorphic function at a pole is something easily understood in terms of power series.

## Riemann-Roch:  $\ell(A) = \deg(A) - g + 1 + \ell(C - A)$ , III

So let's prove some consequences of Riemann-Roch:

#### Proposition (Corollaries of Riemann-Roch)

Let  $C/k$  be an algebraic curve.

- 1. For any divisor A with deg(A)  $\geq$  0, we have  $deg(A) - g + 1 \leq \ell(A) \leq deg(A) + 1.$
- 2. For  $C \in \mathcal{C}$  we have  $\ell(C) = g$  and  $deg(C) = 2g 2$ .
- 3. If deg(A)  $\geq 2g 2$ , then  $\ell(A) = \deg(A) g + 1$  except when  $A \in \mathcal{C}$  (in which case  $\ell(A) = g$ ).
- 4. The genus g is unique, as is the equivalence class  $\mathcal{C}$ .

## Riemann-Roch:  $\ell(A) = \deg(A) - g + 1 + \ell(C - A)$ , IV

1. For any divisor A with deg(A)  $\geq$  0, we have  $deg(A) - g + 1 \leq \ell(A) \leq deg(A) + 1.$ 

- We showed the upper bound earlier using an inductive argument.
- **•** The lower bound follows immediately from Riemann-Roch since  $\ell(C - A) > 0$ .

#### Riemann-Roch:  $\ell(A) = \deg(A) - g + 1 + \ell(C - A)$ , V

2. For  $C \in \mathcal{C}$  we have  $\ell(C) = g$  and  $deg(C) = 2g - 2$ . Proof:

- First set  $A = 0$  in Riemann-Roch: this yields  $\ell(0) = \deg(0) - g + 1 + \ell(C)$ , so since  $\ell(0) = 1$  and  $deg(0) = 0$ , we get  $\ell(C) = g$ .
- Now set  $A = C$  in Riemann-Roch: this yields  $\ell(C) = \deg(C) - g + 1 + \ell(0)$ , and so  $deg(C) = \ell(C) + g - 1 - \ell(0) = 2g - 2.$

#### Riemann-Roch:  $\ell(A) = \deg(A) - g + 1 + \ell(C - A)$ , VI

3. If deg(A) > 2g – 2, then  $\ell(A) = \deg(A) - g + 1$  except when  $A \in \mathcal{C}$  (in which case  $\ell(A) = g$ ).

- If deg(A)  $\geq 2g 2$ , then deg(C A)  $\leq 0$ .
- $\bullet$  Hence by our earlier results, this says  $\ell(C A) = 0$  except when  $C - A$  is principal (i.e., when  $A \in \mathcal{C}$ ).
- When  $\ell(C A) = 0$  Riemann-Roch immediately gives  $\ell(A) = \deg(A) - g + 1$ , and when  $A \in \mathcal{C}$  we have  $\ell(A) = g$  by (2).

### Riemann-Roch:  $\ell(A) = \deg(A) - g + 1 + \ell(C - A)$ , VII

4. The genus g is unique, as is the equivalence class  $\mathcal{C}$ .

- Pick A of sufficiently large degree: then  $deg(A) - \ell(A) + 1 = g$  by (3), so g is uniquely determined.
- For uniqueness of  $\mathcal{C}$ , if  $\ell(A) = \deg(A) - g + 1 + \ell(C - A) = \deg(A) - g + 1 + \ell(D - A)$ for some other divisor D, then  $\ell(C - A) = \ell(D - A)$  for all A.
- Setting  $A = C$  yields  $\ell(D C) = 1$  and setting  $A = D$  yields  $\ell(C - D) = 1$ , and these are contradictory unless  $D - C$  is principal, which is to say,  $D \sim C$ .

### Riemann-Roch:  $\ell(A) = \deg(A) - g + 1 + \ell(C - A)$ , IX

Our main highlight is that we can use Riemann-Roch to study smooth projective curves of small genus over an arbitrary field F with algebraic closure k.

- We start with the simplest genus  $g = 0$  to illustrate the ideas.
- Then we will move on to genus  $g = 1$ , which (as you will see) corresponds precisely to the situation of elliptic curves.

#### Riemann-Roch:  $\ell(A) = \deg(A) - g + 1 + \ell(C - A)$ , X

So suppose that C is a curve of genus 0 over the field  $F$ , and let  $K = F(C)$  be its function field.

- $\bullet$  By Riemann-Roch, we have  $\ell(A) = \deg(A) + 1 + \ell(C A)$  for any divisor A, and also deg( $C$ ) = -2.
- Also, by (3), if deg(A) > -1 then  $\ell(A) = \deg(A) + 1$ . In particular, since deg( $-C$ ) = 2, we have  $\ell(-C) = 3$ .
- Now, for any point P, we have  $\ell(P) < deg(P) + 1$ . So, if P is any point with  $P \leq C$  (there must be at least one since  $deg(-C)$  is positive), we see  $\ell(P) < \ell(-C) = 3$ .
- Thus, deg(P) must be either 1 or 2. (Remember here that  $F$ is not algebraically closed, so points can have a degree larger than 1, if their coordinates don't lie in  $F$  itself.)

### Riemann-Roch:  $\ell(A) = \deg(A) + 1 + \ell(C - A)$ , XI

First suppose that there is a point  $P$  of degree 1.

- Then  $\ell(P) = 2$ .
- Since F is a subspace of  $L(P)$ , there is a basis of  $L(P)$  of the form  $\{1, x\}$  for some  $x \notin F$ .
- Then since deg(div(x) + P) = 1 and div(x) + P  $\geq$  0, we must have  $div(x) + P = Q$  for some point Q (necessarily of degree 1).
- Then  $\text{div}(x) = P Q$ , and so  $[K : F(x)] = deg(\text{div}_{+}(x)) = deg(P) = 1$ , which means  $K = F(x)$ .
- Thus, we obtain an isomorphism  $x: C \to \mathbb{P}^1$ .

Reformulation: A smooth projective curve of genus 0 having a rational point is isomorphic to  $\mathbb{P}^1.$ 

### Riemann-Roch:  $\ell(A) = \deg(A) + 1 + \ell(C - A)$ , XII

Now suppose that there are no points of degree 1: per earlier, we must have a point  $P \leq C$  of degree 2.

- Then  $\ell(P) = 3$ , so again since  $L(P)$  contains k, we may take a basis for  $L(P)$  of the form  $\{1, x, y\}$  for some F-linearly independent  $x, y \notin F$ .
- In the same way as before, we see that  $div(x) = P Q$  and  $div(y) = P - R$  for some (necessarily distinct) points Q and R of degree 2.
- Then  $[K : F(x)] = deg(\text{div}_{+}(x)) = 2$  and  $[K : F(y)] = deg(\text{div}_{+}(y)) = 2$  also.
- Since  $F(x) \neq F(y)$  (by linear independence and the fact that K is a degree-2 extension of both), we see  $K = F(x, y)$ .

### Riemann-Roch:  $\ell(A) = \deg(A) + 1 + \ell(C - A)$ , XIII

So, we know that  $K = F(x, y)$  for some rational functions x, y. Since  $C$  is a curve, these functions  $x$  and  $y$  must satisfy some algebraic relation.

We can use Riemann-Roch to identify this relation.

### Riemann-Roch:  $\ell(A) = \deg(A) + 1 + \ell(C - A)$ , XIII

So, we know that  $K = F(x, y)$  for some rational functions x, y. Since  $C$  is a curve, these functions  $x$  and  $y$  must satisfy some algebraic relation.

- We can use Riemann-Roch to identify this relation.
- Explicitly, observe that  $\ell(2P) = 1 + \text{deg}(2P) = 5$ , but we can find six different elements in  $L(2P)$ , namely  $\{1, x, y, x^2, xy, y^2\}.$
- They must therefore be  $F$ -linearly dependent, so we see that  $x$ and y satisfy some quadratic relation  $ax^{2} + bxy + cy^{2} + dx + ey = f$ , where at least one of the quadratic terms is nonzero.

Reformulation: A smooth projective curve of genus 0 having no F-rational point is isomorphic to a conic.

### Riemann-Roch:  $\ell(A) = \deg(A) + 1 + \ell(C - A)$ , XIV

Now suppose C is a curve of genus 1 over  $F$ , again with function field K.

- In this case, for  $g = 1$  Riemann-Roch and its corollaries say that  $\ell(A) = \deg(A) + \ell(C - A)$ , that deg(C) = 0 and  $\ell(C) = 1$ , and that if deg(A) > 1 then  $\ell(A) =$  deg(A).
- Unlike the case  $g = 0$ , we are not necessarily guaranteed to have a point of any given degree any more, since we cannot use C to construct a point of small degree.
- Indeed, since deg(C) = 0 and  $\ell(C) = 1$ , in fact C is principal (and  $C \sim 0$ ).
- $\bullet$  So let us instead merely suppose that we do have a point P of degree 1.

### Riemann-Roch:  $\ell(A) = \deg(A) + 1 + \ell(C - A)$ , XV

So: C has genus 1, and P is a point of degree 1. Let's look at the spaces  $L(nP)$  like in the genus-0 case.

- From Riemann-Roch, we have  $\ell(nP) = n$ .
- $\ell(2P) = 2$ . Choose a basis  $\{1, x\}$  for  $L(2P)$ , where we necessarily must have  $v_P(x) = 2$  since  $x \notin L(P)$ .
- $(3P) = 3$ . Since 1,  $x \in L(3P)$  we can extend these to a basis  $\{1, x, y\}$  for  $L(3P)$ , where necessarily  $v_P(y) = 3$  since  $v \notin L(2P)$ .
- Now we observe that  $[K : F(x)] = deg(\text{div}_{+}(x)) = 2$  and  $[K : F(y)] = deg(\text{div}_{+}(y)) = 3$ , so since 2 and 3 are relatively prime, we see  $K = F(x, y)$ .
- $\bullet$  Our task again is to find an algebraic relation between x and y.

### Riemann-Roch:  $\ell(A) = \deg(A) + 1 + \ell(C - A)$ , XV

So: C has genus 1, P is a point of degree 1, and we have  $x, y \in F(C)$  with  $v_P(x) = 2$  and  $v_P(y) = 3$  such that  $F(C) = F(x, y)$ .

- Since the various monomials  $x^i y^j$  will all only have poles at P, we can (hope to) find a relation by considering more spaces  $L(nP)$ .
- We have  $\ell(4P) = 4$ , but we can only identify 4 elements that must lie in this space:  $\{1, x, y, x^2\}$ . In fact, they are all linearly independent since they all have different valuations at P.

### Riemann-Roch:  $\ell(A) = \deg(A) + 1 + \ell(C - A)$ , XV

So: C has genus 1, P is a point of degree 1, and we have  $x, y \in F(C)$  with  $v_P(x) = 2$  and  $v_P(y) = 3$  such that  $F(C) = F(x, y)$ .

- Since the various monomials  $x^i y^j$  will all only have poles at P, we can (hope to) find a relation by considering more spaces  $L(nP)$ .
- We have  $\ell(4P) = 4$ , but we can only identify 4 elements that must lie in this space:  $\{1, x, y, x^2\}$ . In fact, they are all linearly independent since they all have different valuations at P.
- Likewise,  $\ell(5P) = 5$ , but we only have 5 elements in this space:  $\{1, x, y, x^2, xy\}$ . Again, these elements are all linearly independent since they have different valuations at P.

#### Riemann-Roch:  $\ell(A) = \deg(A) + 1 + \ell(C - A)$ , XVI

So: C has genus 1, P is a point of degree 1, and we have  $x, y \in F(C)$  with  $v_P(x) = 2$  and  $v_P(y) = 3$  such that  $F(C) = F(x, y)$ .

• But with  $\ell(6P) = 6$  we hit paydirt, because here are 7 elements in this space:  $\{1, x, y, x^2, xy, x^3, y^2\}.$ 

#### Riemann-Roch:  $\ell(A) = \deg(A) + 1 + \ell(C - A)$ , XVI

So: C has genus 1, P is a point of degree 1, and we have  $x, y \in F(C)$  with  $v_P(x) = 2$  and  $v_P(y) = 3$  such that  $F(C) = F(x, y)$ .

- But with  $\ell(6P) = 6$  we hit paydirt, because here are 7 elements in this space:  $\{1, x, y, x^2, xy, x^3, y^2\}.$
- Thus, we must have a linear dependence among these elements, and in fact since  $x^3$  and  $y^2$  are the only elements with valuation  $6$  at  $P$ , they both have nonzero coefficients.
- Then by rescaling  $x, y$  appropriately, we obtain an algebraic relation of the form  $y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$ for some  $a_1, a_2, a_3, a_4, a_6 \in E$ .
- In other words, C has an equation in Weierstrass form!
- Also, here I can mention why the  $a_i$  are so labeled: they are giving the "missing" pole valuation at  $P$  for the corresponding monomial term.

This proves the following theorem:

#### Theorem (Genus-1 Curves)

Suppose C is a smooth curve of genus 1 defined over the field F that has a rational point  $P \in F$ . Then there exist  $x, y \in F(C)$ with  $v_P(x) = 2$  and  $v_P(y) = 3$  such that  $F(C) = F(x, y)$  and  $y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$  for some  $a_1, a_2, a_3, a_4, a_6 \in F$ .

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We can now adopt the more highbrow definition of elliptic curves:

#### Definition (Elliptic Curves, Properly)

Let F be a field. An elliptic curve E over F is a smooth projective curve defined over F with genus 1 that has an F -rational point O.

Note that the specific choice of  $F$ -rational point  $O$  is part of the definition of an elliptic curve.

- If we take the same projective curve but choose different selections for O, we view the resulting elliptic curves as distinct.
- As we will see, however, they will be isomorphic, so the distinction is not of great importance.

Let's use the highbrow approach to show that elliptic curves have a group law:

- In the discussion that follows, we will need to keep separate the notion of  $P$  as a divisor and  $P$  as a point on the curve.
- If you're wondering why, it's because we have a group operation on divisors (namely, addition of divisors) and also a group operation on points (namely, addition on the elliptic curve).
- As you can probably imagine, we will be using the group operation on divisors to construct the group operation on points.

So, in this discussion, the divisor of a point  $P$  will always be denoted  $[P]$ .

#### Elliptic Curves But Properly, IV

#### Theorem (The Group Law, Again)

Let F be a field and E be an elliptic curve defined over F with an F -rational point O.

- 1. If P and Q are F-rational points such that  $[P] \sim [Q]$  as divisors, then  $P = Q$ .
- 2. For every degree-zero divisor D, there exists a unique point  $P \in E$  such that  $D \sim [P] - [O]$ .
- 3. If  $\sigma: {\rm Div}^0(E)\rightarrow E$  denotes the map in (2), then  $\sigma$  induces a bijection  $\tilde{\sigma} : \mathrm{Pic}^0(E) \to E$ .
- 4. With  $\tilde{\sigma}$  as in (3), the group operation on E induced from  $\operatorname{Pic}^0(E)$  via  $\tilde{\sigma}$  is the same as the geometric group law on E. (In other words, if we think of  $E$  as a group with the geometric law, then E is isomorphic to  ${\rm Pic}^0(E)$  via  $\tilde{\sigma}.$  )

#### Theorem (The Group Law, Again, Continued)

Let F be a field and E be an elliptic curve defined over F with an F -rational point O.

- 5. The group law defines morphisms  $+ : E \times E \rightarrow E$  mapping  $(P, Q) \mapsto P + Q$  and  $- : E \to E$  mapping  $P \mapsto -P$ .
- 6. For any divisor  $D \in \text{Div}(E)$ , D is principal if and only if  $deg(D) = 0$  and the formal sum representing D evaluates to O when viewed as a sum of points using the group law.

1. If P and Q are F-rational points such that  $[P] \sim [Q]$  as divisors, then  $P = Q$ .

- Suppose that  $[P] \sim [Q]$ , so that  $[P] [Q] = \text{div}(f)$  for some  $f_{.}$
- Then in particular,  $f \in L([Q])$ .
- But Riemann-Roch on E says that  $I([Q]) = 1$ , so since the constants all lie in  $L([Q])$ , f must be constant.
- Then  $div(f) = 0$  and hence  $P = Q$ , as claimed.

2. For every degree-zero divisor  $D$ , there exists a unique point  $P \in E$  such that  $D \sim [P] - [O]$ .

- For existence, since deg( $D + [O]$ ) = 1, our consequences of Riemann-Roch imply that  $I(D + [O]) = 1$ .
- Let f span  $L(D + [O])$ : then  $div(f) > -D [O]$  and  $deg(\text{div}(f)) = 0.$
- So since  $-D [O]$  has degree  $-1$ , we must have  $div(f) = -D - [O] + [P]$  for some degree-1 point P, whence  $D \sim [P] - [O].$
- Finally, the uniqueness of  $Q$  then follows immediately from (1), since if  $[P] - [O] \sim D \sim [Q] - [O]$  then  $P = Q$ .

3. If  $\sigma: {\rm Div}^0(E)\rightarrow E$  denotes the map with  $D\sim [\sigma(D)]-[O],$ then  $\sigma$  induces a bijection  $\tilde{\sigma}:\operatorname{Pic}^0(E)\rightarrow E.$ 

- First observe that  $\sigma([P] [O]) = P$  so  $\sigma$  is certainly surjective from  $\operatorname{Div}^0(E)$  to  $E.$
- Also, by the definition of  $\sigma$  for any divisors  $D_1$  and  $D_2$  we have  $\sigma(D_1) - \sigma(D_2) \sim D_1 - D_2$ , so  $D_1 \sim D_2$  if and only if  $\sigma(D_1) = \sigma(D_2)$ .
- This shows that  $\sigma$  descends to a bijection  $\tilde{\sigma}$  from  $\operatorname{Pic}^0(E)$  to E.

4. With  $\tilde{\sigma}:\mathrm{Pic}^0(E)\rightarrow E$  with  $\tilde{\sigma}(D)=\sim [\sigma(D)]-[O],$  the group operation on  $E$  induced from  ${\rm Pic}^0(E)$  via  $\tilde{\sigma}$  is the same as the geometric group law on E.

Proof (preamble):

- The inverse map of  $\tilde{\sigma}$  is  $\tau : P \rightarrow [P] [O]$ .
- We want to see that  $\tau(P+Q)=\tau(P)+\tau(Q)$ , where the addition on the left is the geometric group law, and the addition on the right is the addition of divisor classes in the Picard group.
- Equivalently, we want to see that  $[P + Q] - [P] - [Q] + [O] \sim 0$ , where again  $P + Q$  represents addition via the geometric group law.

4. With  $\tilde{\sigma}:\mathrm{Pic}^0(E)\rightarrow E$  with  $\tilde{\sigma}(D)\sim [\sigma(D)]-[O],$  the group operation on  $E$  induced from  ${\rm Pic}^0(E)$  via  $\tilde{\sigma}$  is the same as the geometric group law on E.

- To show:  $[P + Q] [P] [Q] + [O] \sim 0$ .
- Let f be the line through P and Q, let R be the third intersection point of E with this line, and let  $g$  be the line through R and O. Then since the line  $Z = 0$  intersects E at O with multiplicity 3, we have  $div(f/Z) = [P] + [Q] + [R] - 3[O]$  and  $\mathrm{div}(g/Z) = [R] + [P + Q] - 2[O].$
- Therefore,  $[P + Q] [P] [Q] + [O] = \text{div}(f/g) \sim 0$ , as required. This means  $\tau$  is a group homomorphism and thus a group isomorphism, as desired.

#### Elliptic Curves But Properly, X

5. The group law defines morphisms  $+ : E \times E \rightarrow E$  mapping  $(P, Q) \mapsto P + Q$  and  $- : E \to E$  mapping  $P \mapsto -P$ .

Proof (outline):

- The actual details involve various special cases, but it suffices to show that the maps are rational, since rational maps from a smooth curve to a variety are automatically morphisms.
- But the addition map and the additive-inverse map are both rational on almost all points, as we have already seen via the explicit formulas.
- The only possible exceptions involve adding a point to itself or a point to O.
- One may check explicitly in these cases that the maps still yield morphisms by rearranging the formulas using projective equivalences like the ones we did a few weeks ago.

6. For any divisor  $D \in Div(E)$ , D is principal if and only if  $deg(D) = 0$  and the formal sum representing D evaluates to O when viewed as a sum of points using the group law.

- As we have previously noted, the degree of any principal divisor is 0, so certainly we must have  $deg(D) = 0$ .
- Now if  $D\in {\rm Div}^{0}(E)$  is  $D=\sum_{P} n_{P}[P]$  we have  $D\sim 0$  if and only if  $\sigma(D) = O$ .
- But  $\sigma(D) = \sigma(\sum_P n_P[P]) = \sum_P n_P \sigma([P]) =$  $\sum_{P}$  n $_{P}(P - O) = \sum_{P}$  n $_{P}P$  by definition of  $\sigma$  and the equivalence of the group operations in (4).
- So we see  $\sigma(D)=O$  if and only if  $\sum_{P} n_P P = O$  when viewed as a sum of points using the group law.

Some of these results can be packaged together via an exact sequence:

Exercise: Show that we have an exact sequence

$$
1 \to k^* \to k(E)^* \stackrel{\text{div}}{\to} \text{Div}^0(E) \stackrel{(6)}{\to} E \to 0
$$

where div represents the divisor map  $f \mapsto \text{div}(f)$  and (6) represents the map discussed in (6) that takes a divisor  $\sum_{P} n_P[P]$ and evaluates it as a sum of points on E.



We discussed Riemann-Roch spaces  $L(D)$  and properties of their dimensions  $I(D)$ .

We stated the Riemann-Roch theorem and discussed a number of its consequences.

We constructed Weierstrass equations and the group law on genus-1 curves using Riemann-Roch.

Next lecture: Differentials.