E. Dummit's Math 7315 \sim Number Theory in Function Fields, Fall 2021 \sim Homework 2

Problems are worth points as indicated. Solve as many problems as you can (suggestion: at least 30 points' worth). Prepare solutions to these problems so that you may present some of them in lecture on Monday, November 8th. Starred exercises are especially recommended.

0.1 In-Lecture Exercises

0.1.1 Exercises from (Sep 27)

• [2pts] Extend the example from class to describe all monic irreducibles $p \in \mathbb{F}_q[t]$ such that t is a square modulo p for arbitrary finite fields \mathbb{F}_q .

0.1.2 Exercises from (Sep 30)

- [1pt] Show that if a is not relatively prime to m, then there are only finitely many primes congruent to a modulo m.
- *[2pt] If S is finite, show that its Dirichlet density is 0.
- [4pts] Show that the set of primes whose leading digit is 1 in base 10 has undefined natural density, but has Dirichlet density $\log_{10} 2$. (The answer works out the same if you use integers with leading digit 1 relative to all integers; this may be a bit easier to work out.)
- [2pts] Show that extended Dirichlet characters modulo m are the same as functions $\chi : \mathbb{Z} \to \mathbb{C}$ (or $A \to \mathbb{C}$) such that (i) $\chi(a + bm) = \chi(a)$ for all a, b, (ii) $\chi(ab) = \chi(a)\chi(b)$ for all a, b, and (iii) $\chi(a) \neq 0$ iff a is relatively prime to m.
- [3pts] If G is a finite abelian group and H is a subgroup, define $H^{\perp} = \{\chi \in \hat{G} : \chi(H) = 1\}$. Show that $H^{\perp} \cong \widehat{G/H}$ and that $\hat{G}/H^{\perp} \cong \hat{H}$. Use these results along with $\hat{G} \cong G$ to conclude that the subgroup lattice of G is the same when turned upside down.
- *[2pts] If G is a finite abelian group, verify that the evaluation map $\varphi : G \to \hat{G}$ with $\varphi(g) = \{\chi \mapsto \chi(g)\}$ is an isomorphism from \hat{G} to G.
- [3pts] Prove Plancherel's theorem $\langle f_1, f_2 \rangle_G = \frac{1}{|G|} \langle \hat{f}_1, \hat{f}_2 \rangle_{\hat{G}}$ and deduce Parseval's theorem $\sum_{g \in G} |f(g)|^2 = \frac{1}{|G|} \sum_{\chi \in \hat{G}} \left| \hat{f}(\chi) \right|^2$.

0.1.3 Exercises from (Oct 14)

- [2pts] Prove that the set $\{x + y, x^2 + y^2\}$ is algebraically independent over \mathbb{R} (where x, y are indeterminates).
- [4pts] Show that localization commutes with sums, intersections, quotients, finite direct sums, and is exact. (These are standard facts you can look up in Dummit/Foote and Atiyah/Macdonald.)
- [2pts] Show that the field $F(x, \sqrt{x^2 + x})$ is a purely transcendental extension of F. [Hint: Let $t = \sqrt{x^2 + x}/x$ and write x and $y = \sqrt{x^2 + x}$ in terms of t by using the relation $y^2 = x^2 + x$.]
- [2pts] Show that if I is an ideal of R, then $D = R \setminus I$ is multiplicatively closed if and only if I is prime.
- *[2pts] Show that if P is a prime ideal and $D = R \setminus P$, then $D^{-1}R$ is a local ring with unique maximal ideal $D^{-1}P = \pi(P) = e_P$, the extension of the ideal P to $D^{-1}R$.

0.1.4 Exercises from (Oct 18)

- [2pts] If R is a Noetherian integrally-closed domain and P is a minimal nonzero prime ideal of R, show that R_P is a DVR. (This is corollary 8 from Section 16.2 of Dummit/Foote.)
- *[10pts] Let R be a discrete valuation ring with field of fractions F and valuation v. Also $t \in R$ be a uniformizer; i.e., an element with v(t) = 1. Show the following:
 - 1. For any $r \in F^{\times}$, either r or 1/r is in R.
 - 2. An element $u \in R$ is a unit of R if and only if v(u) = 0. In particular, if $\zeta \in F$ is any root of unity, then $v(\zeta) = 0$.
 - 3. If $x \in R$ is nonzero and v(x) = n, then x can be written uniquely in the form $x = ut^n$ for some unit $u \in R$.
 - 4. Every nonzero ideal of R is of the form (t^n) for some $n \ge 0$.
 - 5. The ring R is a Euclidean domain (hence also a PID and a UFD) and also a local ring.
 - 6. The ring S is a DVR if and only if it is a PID and a local ring but not a field.
- [2pts] If v is a discrete valuation on \mathbb{Q} , show that the set $P = \{n \in \mathbb{Z} : v(n) > 0\}$ is a prime ideal of \mathbb{Z} .
- [3pts] Prove that the *p*-adic valuations v_p along with v_{∞} are the only discrete valuations on F(t)/F. (Use a similar argument to the one for \mathbb{Q} by identifying all possible uniformizers.)
- [2pts] For K = F(t), if $a = u \frac{p_1^{a_1} \cdots p_k^{a_k}}{q_1^{b_1} \cdots q_l^{b_l}}$ for $u \in F^{\times}$ and distinct monic irreducibles $p_1, \ldots, p_k, q_1, \ldots, q_l$ having associated primes $P_1, \ldots, P_k, Q_1, \ldots, Q_l$, show that $\operatorname{div}(a) = a_1 P_1 + \cdots + a_k P_k - b_1 Q_1 - \cdots - b_l Q_l + [\sum_j b_j \operatorname{deg}(q_j) - \sum_i a_i \operatorname{deg}(p_i)]\infty$.
- [3pts] For any field F, if $f(t), g(t) \in F[t]$ are relatively prime, show that $[F(t) : F(\frac{f(t)}{g(t)})] = \max(\deg f, \deg g)$. [Hint: Use Gauss's lemma to show that $q(y) = f(y) - \frac{f(t)}{g(t)}g(y) \in F(\frac{f(t)}{g(t)})[y]$ is the minimal polynomial of t over $F(\frac{f(t)}{g(t)})$.]

0.1.5 Exercises from (Oct 21)

- [2pts] Verify that the relation $D_1 \sim D_2$ if $D_1 D_2$ is principal is an equivalence relation, and that the equivalence classes are the elements in the quotient group of divisors modulo principal divisors.
- [1pt] Check that the relation $D_1 \leq D_2$ is a partial ordering on divisors.
- *[2pts] Determine L(D) when K = F(t) for $D = P_t P_\infty$, $P_t + P_\infty$, and $P_t + P_{t-1}$.
- [2pts] Show that when K = F(x), the canonical class contains every divisor of K of degree -2.

0.1.6 Exercises from (Oct 25)

- [2pts] For any nonzero meromorphic f on X, show that deg(div(f)) = 0. [Hint: Use Cauchy's argument principle: for any contour C, $\frac{1}{2\pi i} \int_C \frac{f'}{f} dz = Z P$ is the number of zeroes minus the number of poles in C.]
- [2pts] Explain why saying that the dimension of the space of holomorphic differentials on X has dimension g is equivalent to saying $\ell(C) = g$.
- [3pts] If R is the valuation ring of P, show that σR is also a valuation ring with maximal ideal σP , and that σ gives an isomorphism of R/P with $\sigma R/\sigma P$. Show also that for any $a \in K$, $v_{\sigma P}(a) = v_P(\sigma^{-1}a)$.
- [2pts] Show that the number of primes of degree $\leq n$ in K is at most $[K:F(x)]q^n$ for any $x \in K \setminus F$.
- [2pts] Give an explicit upper bound in terms of [K : F(x)], q, and n for the number of effective divisors of degree n in K.
- [1pt] If $A, B \ge 0$, show that $N(A + B) = NA \cdot NB$.

0.1.7 Exercises from (Oct 28)

- [2pts] Show that $\zeta_{\mathbb{F}_q(t)}(s) = (1 q^{-s})^{-1} \zeta_{\mathbb{F}_q[t]}(s) = \frac{1}{(1 q^{1-s})(1 q^{-s})}.$
- *[3pts] Using the explicit formula $\zeta_{\mathbb{F}_q(t)}(s) = \frac{1}{(1-q^{-s})(1-q^{1-s})}$, verify the Weil conjectures for $K = \mathbb{F}_q(t)$.
- [2pts] Show that if $q \ge 4g^2$, then there must exist primes of degree 1 in K.
- [2pts] Show that if q > 4 and g > 0, then the class number of K is greater than 1.

0.2 Additional Exercises

- [15pts] Our discussion of primes of a function field K/F is predicated on the assumption that there are actually DVRs inside K. The goal of this problem is to show this is indeed the case by establishing the following result: if S is a subring of K containing F and I is a nonzero proper ideal of S, then there is a prime P of K with valuation ring R such that $I \subseteq P$ and $S \subseteq R$.
 - 1. Let \mathcal{F} be the set of subrings T of K containing R such that $IT \neq T$. Show that \mathcal{F} contains a maximal element.
 - 2. Suppose that \mathcal{O} is a maximal element under the conditions of (1). Show that for any element $x \in K$, either $x \in \mathcal{O}$ or $x^{-1} \in \mathcal{O}$. [Hint: If not, then $I\mathcal{O}[x] = \mathcal{O}[x]$ and $I\mathcal{O}[x^{-1}] = \mathcal{O}[x^{-1}]$. Pick m, n minimal with $1 = a_0 + a_1x + \cdots + a_nx^n$ and $1 = b_0 + b_1x^{-1} + \cdots + b_mx^{-m}$ with $a_i, b_i \in I\mathcal{O}$. Use these relations to eliminate a power and obtain a contradiction.]
 - 3. Suppose that Σ is a subring of K containing F, with $\Sigma \neq F, K$, and such that every element $a \in K$ has $a \in \Sigma$ or $a^{-1} \in \Sigma$. Show that Σ is a valuation ring of K. [Hint: Show that Σ is a local ring and that its maximal ideal is principal, and use this to write down the discrete valuation.]
 - 4. Show that for any $a \in K \setminus F$, a has at least one zero and one pole. [Hint: Take the ring F[z] and the ideal I = zF[z] to get a zero.]
 - 5. Conclude that K/F has at least two primes P. (In fact, every function field has infinitely many primes, though this is a bit harder to extract.)
- [10pts] The goal of this problem is to prove a result known as the Weierstrass gap theorem. Let P be a prime of K and suppose that the genus of K is g. The main task is to investigate the spaces L(nP) for various n: we say that an integer n is a pole number for P if there exists $a \in K$ such that $\operatorname{div}_{-}(a) = -nP$, and otherwise (if there is no such a) we say n is a gap number for P.
 - 1. Show that the set of pole numbers for P is an additive semigroup (i.e., it is closed under addition and contains 0).
 - 2. Show that if $n \ge 2g$, then L((n-1)P) < L(nP). Deduce that there exists an element $a \in K$ such that $\operatorname{div}_{-}(a) = -nP$ and conclude that each $n \ge 2g$ is a pole number.
 - 3. Show that there are exactly g gap numbers $i_1 < i_2 < \cdots < i_g$ for P, and that $i_1 = 1$ and $i_g \leq 2g 1$.