

# Math 5111 (Algebra 1)

Lecture #21 of 24 ~ November 23rd, 2020

---

Finite Fields, Primitive Elements, and Composite Extensions

- Finite Fields and Irreducible Polynomials Mod  $p$
- The Primitive Element Theorem
- Composite Extensions

This material represents §4.3.1-4.3.3 from the course notes.

# Erstwhile

## Theorem (Fundamental Theorem of Galois Theory)

Let  $K/F$  be a Galois extension and let  $G = \text{Gal}(K/F)$ .

0. There is an inclusion-reversing bijection between intermediate fields  $E$  of  $K/F$  and subgroups  $H$  of  $G$ , given by associating a subgroup  $H$  to its fixed field  $E$ .
1. Subgroup indices correspond to extension degrees, so that  $[K : E] = |H|$  and  $[E : F] = |G : H|$ .
2. The extension  $K/E$  is always Galois, with Galois group  $H$ .
3. If  $\bar{F}$  is a fixed algebraic closure of  $F$ , then the embeddings of  $E$  into  $\bar{F}$  are in bijection with the left cosets of  $H$  in  $G$ .
4.  $E/F$  is Galois if and only if  $H$  is a normal subgroup of  $G$ , and in that case,  $\text{Gal}(E/F)$  is isomorphic to  $G/H$ .
5. Intersections of subgroups correspond to joins of fields, and joins of subgroups correspond to intersections of fields.
6. The lattice of subgroups of  $G$  is the same as the lattice of intermediate fields of  $K/F$  turned upside-down.

# Roadmap

We will now discuss a number of applications of the fundamental theorem of Galois theory (and its various related ideas) to the study of field extensions:

1. Finite fields and irreducible polynomials in  $\mathbb{F}_p[x]$
2. Simple extensions and the primitive element theorem
3. Properties of composite extensions
4. Cyclotomic and abelian extensions

Then we will finish off the semester back where we started: by studying polynomials and their roots.

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , I

We will start by analyzing the structure of finite fields, so let  $p$  be a prime and  $n$  be a positive integer.

- As we have discussed, there is a unique (up to isomorphism) finite field  $\mathbb{F}_{p^n}$  with  $p^n$  elements, and it is the splitting field of the separable polynomial  $x^{p^n} - x$  over  $\mathbb{F}_p$ .
- We have also shown that the Galois group  $G = \text{Gal}(\mathbb{F}_{p^n}/\mathbb{F}_p)$  is cyclic of order  $n$  and is generated by the Frobenius automorphism  $\varphi_{(n)} : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^n}$  with  $\varphi_{(n)}(x) = x^p$ .

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , II

Since  $G = \text{Gal}(\mathbb{F}_{p^n}/\mathbb{F}_p)$  is cyclic of order  $n$ , its subgroups are of the form  $\langle \varphi^d \rangle$  for the divisors  $d$  of  $n$ .

- Because  $G$  is abelian, all of these subgroups are normal, so the corresponding fixed fields are all Galois.
- Since  $\varphi_{(n)}^d(x) = x^{p^d}$ , the fixed field of  $\varphi^d$  is the set of solutions to the equation  $x^{p^d} - x = 0$  inside  $\mathbb{F}_{p^n}$ , so the fixed field is the splitting field of  $x^{p^d} - x$ , which is  $\mathbb{F}_{p^d}$ .
- Thus, by the fundamental theorem of Galois theory, the subfields of  $\mathbb{F}_{p^n}$  are the fields  $\mathbb{F}_{p^d}$  for  $d$  dividing  $n$ .
- Furthermore, the Galois group  $\text{Gal}(\mathbb{F}_{p^d}/\mathbb{F}_p)$  is generated by the image of  $\varphi_{(n)}$  inside the quotient group  $G/\langle \varphi^d \rangle$ . This map is simply the  $p$ th power map on elements, which is  $\varphi_{(d)} : \mathbb{F}_{p^d} \rightarrow \mathbb{F}_{p^d}$ . (In other words, the restriction of the Frobenius map from  $\mathbb{F}_{p^n}$  to  $\mathbb{F}_{p^d}$  is the Frobenius map on  $\mathbb{F}_{p^d}$ .)

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , III

We can also use these observations to prove a useful result on irreducible polynomials over  $\mathbb{F}_p$ :

**Theorem (Factorization of  $x^{p^n} - x$  in  $\mathbb{F}_p[x]$ )**

*For any prime  $p$  and any positive integer  $n$ , the polynomial  $x^{p^n} - x$  factors in  $\mathbb{F}_p[x]$  as the product of all monic irreducible polynomials over  $\mathbb{F}_p$  of degree dividing  $n$ .*

Examples:

1. Over  $\mathbb{F}_2$ ,  $x^8 - x = x(x+1)(x^3+x+1)(x^3+x^2+1)$ .
2. Over  $\mathbb{F}_2$ ,  $x^{16} - x = x(x+1)(x^2+x+1)(x^4+x^3+1)(x^4+x+1)(x^4+x^3+x^2+x+1)$ .
3. Over  $\mathbb{F}_3$ ,  $x^9 - x = x(x+1)(x+2)(x^3+2x+1)(x^3+2x+2)$ .

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , IV

Proof:

- Let  $q(x) = x^{p^n} - x$ . As we have noted previously,  $q(x)$  is separable and its roots are the elements of  $\mathbb{F}_{p^n}$ .
- If  $f(x)$  is any monic irreducible factor of  $x^{p^n} - x$ , then  $\mathbb{F}_p[x]/f(x)$  is a subfield of  $\mathbb{F}_{p^n}$ , hence must be  $\mathbb{F}_{p^d}$  for some  $d$  dividing  $n$ . Since  $\deg(f) = d$  this means  $\deg(f)$  divides  $n$ .
- Conversely, if  $f(x) \in \mathbb{F}_p[x]$  is monic irreducible of degree  $d$  dividing  $n$ , then  $\mathbb{F}_p[x]/(f(x))$  is a finite field with  $p^d$  elements, and is therefore (isomorphic to)  $\mathbb{F}_{p^d}$ .
- Then any root  $\alpha$  of  $f(x)$  is contained in  $\mathbb{F}_{p^d}$  hence lies in  $\mathbb{F}_{p^n}$  and is thus a root of  $q(x)$ . Since  $f(x)$  is separable (since it is irreducible over a finite field) this means  $f(x)$  divides  $q(x)$ .
- Thus, the irreducible factors of  $x^{p^n} - x$  are precisely the monic irreducible polynomials of degree dividing  $n$ , and since no factor can be repeated,  $x^{p^n} - x$  must simply be their product.

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , V

We can use the factorization of  $x^{p^n} - x$  to give an exact count of the monic irreducible polynomials in  $\mathbb{F}_p[x]$ :

- Let  $f_p(n)$  be the number of monic irreducible polynomials of exact degree  $n$  in  $\mathbb{F}_p[x]$ .
- The theorem says that  $p^n = \sum_{d|n} df_p(d)$ , since both sides count the total degree of the product of all irreducible polynomials of degree dividing  $n$ .
- Using this recursion, we can compute the first few values:

$n$	1	2	3	4	5	6	7
$f_p(n)$	$p$	$\frac{p^2 - p}{2}$	$\frac{p^3 - p}{3}$	$\frac{p^4 - p^2}{4}$	$\frac{p^5 - p}{5}$	$\frac{p^6 - p^3 - p^2 + p}{6}$	$\frac{p^7 - p}{7}$

- For example, we see that there are  $(2^7 - 2)/2 = 63$  monic irreducible polynomials of degree 7 over  $\mathbb{F}_2$ .

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , VI

In fact, using a tool from elementary number theory, we can use the recursion to write down a general formula:

### Definition

The Möbius function is defined as

$$\mu(n) = \begin{cases} 0 & \text{if } n \text{ is divisible by the square of any prime} \\ (-1)^k & \text{if } n \text{ is the product of } k \text{ distinct primes} \end{cases}.$$

In particular,  $\mu(1) = 1$ .

For example,  $\mu(5) = -1$ ,  $\mu(6) = 1$ ,  $\mu(30) = -1$ , and  $\mu(12) = 0$ .

### Proposition (Möbius Inversion)

If  $f(n)$  is any sequence satisfying a recursive relation of the form

$$g(n) = \sum_{d|n} f(d), \text{ for some function } g(n), \text{ then}$$

$$f(n) = \sum_{d|n} \mu(d)g(n/d).$$

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , VII

Proof:

- First, we claim  $\sum_{d|n} \mu(d)$  is 1 if  $n = 1$  and 0 if  $n \neq 0$ .
- To see this, if  $n = p_1^{a_1} \cdots p_k^{a_k}$ , the only terms that will contribute to the sum  $\sum_{d|n} \mu(d)$  are those values of  $d = p_1^{b_1} \cdots p_k^{b_k}$  where each  $b_i$  is 0 or 1. If  $k > 0$ , then half of these  $2^k$  terms will have  $\mu(d) = 1$  and the other half will have  $\mu(d) = -1$ , so the sum is zero. Otherwise,  $k = 0$  means that  $n = 1$ , in which case the sum is clearly 1.
- Now we prove the desired result by induction. It clearly holds for  $n = 1$ , so now suppose the result holds for all  $k < n$ .
- Then  $\sum_{d|n} \mu(d)g(n/d) = \sum_{d|n} \mu(d) \sum_{d'|(n/d)} f(d') = \sum_{dd'|n} \mu(d)f(d') = \sum_{d'|n} f(d') \sum_{d|(n/d')} \mu(d)$  by induction and reordering the sum.
- But the last sum is simply  $f(n)$ , because  $\sum_{d|(n/d')} \mu(d)$  is zero unless  $n/d'$  is equal to 1.

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , VIII

By applying Möbius inversion to  $f_p(n)$ , we immediately obtain the following:

### Corollary (Number of Monic Irreducible Polynomials in $\mathbb{F}_p[x]$ )

The number of monic irreducible polynomials of degree  $n$  in  $\mathbb{F}_p[x]$  is  $f_p(n) = \frac{1}{n} \sum_{d|n} p^{n/d} \mu(d)$ .

### Examples:

- The number of monic irreducibles of degree 18 in  $\mathbb{F}_2[x]$  is  $\frac{1}{18}(2^{18} - 2^9 - 2^6 + 2^3) = 14532$ .
- The number of monic irreducibles of degree 30 in  $\mathbb{F}_2[x]$  is  $\frac{1}{30}(2^{30} - 2^{15} - 2^{10} - 2^6 + 2^5 + 2^3 + 2^2 - 2^1) = 35790267$ .

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , IX

From this corollary, we see that  $f_p(n) = \frac{1}{n}p^n + O(p^{n/2})$ , where the “big- $O$ ” notation means that the error is of size bounded above by a constant times  $p^{n/2}$  as  $n \rightarrow \infty$ .

- This has the following interesting reinterpretation: let  $X$  be the number of polynomials in  $\mathbb{F}_p[x]$  of degree less than  $n$ . Clearly,  $X = p^n$ .
- Now we ask: of all these  $X$  polynomials, how many of them are “prime” (i.e., irreducible)?
- This is simply  $f_p(n) = \frac{1}{n}p^n + O(p^{n/2}) = \frac{X}{\log_p(X)} + O(\sqrt{X})$ .
- In other words: the number of “primes less than  $X$ ” is equal to  $\frac{X}{\log_p(X)}$ , up to a bounded error term.

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , $X$

Compare the result  $f_p(n) = \frac{X}{\log_p(X)} + \mathcal{O}(\sqrt{X})$  to the Prime Number Theorem in  $\mathbb{Z}$ :

### Theorem (Prime Number Theorem)

*If  $\pi(n)$  is the number of primes in the interval  $[1, n]$ , then  $\pi(n) \sim \frac{n}{\log(n)}$ , in the sense that  $\lim_{n \rightarrow \infty} \frac{\pi(n)}{n/\log(n)} = 1$ .*

So in fact, we have just proven the analogue of the Prime Number Theorem for the ring  $\mathbb{F}_p[x]$ .

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , XI

Any of the irreducible polynomials  $f(x)$  of degree  $n$  yields gives a model for  $\mathbb{F}_{p^n}$ , namely as  $\mathbb{F}_p[x]/(f(x))$ .

- Thus, if  $f_1$  and  $f_2$  are both irreducible of degree  $n$ , then  $F_1 = \mathbb{F}_p[x]/(f_1(x))$  and  $F_2 = \mathbb{F}_p[y]/(f_2(y))$  are both isomorphic to  $\mathbb{F}_{p^n}$ .
- To compute an isomorphism between them, we simply observe that  $f_1(x)$  splits completely over  $F_2$ , and if  $\alpha(y)$  represents any root, then the map sending  $\bar{x}$  in  $F_1$  to  $\alpha(y)$  in  $F_2$  extends to an isomorphism of  $F_1$  with  $F_2$ . (In other words, we map a root  $x$  of  $f_1$  in  $F_1$  to a root  $\alpha(y)$  of  $f_1$  in  $F_2$ .)
- In practice, it can be rather cumbersome to compute the roots by hand, although there do exist efficient factorization algorithms over finite fields, one of which is known as Berlekamp's algorithm.

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , XII

Example: Compute an explicit isomorphism of the field  $\mathbb{F}_3[x]/(x^3 + 2x + 1)$  with the field  $\mathbb{F}_3[y]/(y^3 + y^2 + 2)$ .

- Note that both  $x^3 + 2x + 1$  and  $y^3 + y^2 + 2$  are irreducible over  $\mathbb{F}_3$  because they are degree-3 and have no roots in  $\mathbb{F}_3$ .
- To compute an isomorphism, we search for a root of  $x^3 + 2x + 1$  in  $\mathbb{F}_3[y]/(y^3 + y^2 + 2)$ .
- Checking the various possibilities eventually reveals that  $2y^2 + 2y$  is a root of  $x^3 + 2x + 1$ , and therefore the map  $\varphi : \mathbb{F}_3[x]/(x^3 + 2x + 1) \rightarrow \mathbb{F}_3[y]/(y^3 + y^2 + 2)$  with  $\varphi(x) = 2y^2 + 2y$  is such an isomorphism.

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , XIII

As a final remark, we will observe that the simple structure of finite field extensions also yields a nice description of the algebraic closure  $\overline{\mathbb{F}_p}$ .

- Explicitly, if  $\alpha \in \overline{\mathbb{F}_p}$  then  $\alpha$  (being algebraic over  $\mathbb{F}_p$ ) is contained in a finite-degree extension of  $\mathbb{F}_p$ , namely, one of the fields  $\mathbb{F}_{p^n}$ .
- But notice that the fields  $\mathbb{F}_{p^n}$  for  $n \geq 1$  are partially ordered under inclusion, and that any two of them are contained in another (namely,  $\mathbb{F}_{p^n}$  and  $\mathbb{F}_{p^m}$  are both contained in  $\mathbb{F}_{p^{mn}}$ ).
- Thus, the union of these fields (technically, the colimit) is well defined, and by the above, it contains every element  $\alpha$  algebraic over  $\mathbb{F}_p$ , meaning that it is the algebraic closure.

## Finite Fields and Irreducible Polynomials in $\mathbb{F}_p[x]$ , XIV

Symbolically,  $\overline{\mathbb{F}_p} = \bigcup_{n=1}^{\infty} \mathbb{F}_{p^n}$ .

- Furthermore, since the Frobenius maps on the various  $\mathbb{F}_{p^n}$  are all consistent under restriction, we see that they extend to a Frobenius map  $\varphi : \overline{\mathbb{F}_p} \rightarrow \overline{\mathbb{F}_p}$  on the algebraic closure, defined explicitly via  $\varphi(x) = x^p$ .
- Note that  $\varphi$  has infinite order as an element of  $\text{Aut}(\overline{\mathbb{F}_p}/\mathbb{F}_p)$ , but one may show in fact that  $\text{Aut}(\overline{\mathbb{F}_p}/\mathbb{F}_p)$  is uncountably infinite (and thus  $\varphi$  is not a generator, since the cyclic subgroup it generates is only countably infinite).

# The Primitive Element Theorem, I

We can use the fundamental theorem of Galois theory to determine (in a large number of cases) when an arbitrary finite-degree extension  $K/F$  is simple, which is to say, when  $K = F(\alpha)$  for some  $\alpha \in K$ . The easiest case is when  $F$  is finite:

## Proposition (Finite Fields are Simple)

*Suppose  $K/F$  is a finite-degree extension and  $F$  is finite. Then  $K$  is a simple extension of  $F$ .*

Proof:

- If  $K/F$  has finite degree and  $F$  is finite, then  $K$  is also finite.
- As we have shown, the multiplicative group  $K^\times$  of any finite field is cyclic.
- If  $\alpha$  is any generator, then every nonzero element of  $K$  is a power of  $\alpha$ , and thus  $F(\alpha) = F[\alpha] = K$ .

## The Primitive Element Theorem, II

Next we prove a characterization of simple extensions in terms of their subfields:

### Proposition (Simple Extensions and Subfields)

*Suppose  $K/F$  is a finite-degree extension. Then  $K = F(\alpha)$  for some  $\alpha \in K$  if and only if  $K/F$  has finitely many intermediate fields.*

If  $F$  is finite then the result follows immediately from the previous proposition, so for the proof we can assume that  $F$  is infinite.

## The Primitive Element Theorem, III

### Proof:

- First suppose  $K = F(\alpha)$  is a simple extension and suppose  $E$  is an intermediate field of  $K/F$ .
- Let  $m(x) \in F[x]$  be the minimal polynomial for  $\alpha$  over  $F$  and  $p(x) \in E[x]$  be the minimal polynomial for  $\alpha$  over  $E$ , and note that  $p(x)$  divides  $m(x)$  in  $E[x]$ .
- If we let  $E'$  be the field generated over  $F$  by the coefficients of  $p(x)$ , then clearly  $E' \subseteq E$ , and the minimal polynomial for  $\alpha$  over  $E'$  is also  $p(x)$ . But since  $[K : E] = \deg p = [K : E']$ , this means  $E' = E$ .
- We conclude that  $E$  is generated over  $F$  by the coefficients of some monic polynomial dividing  $m(x)$  in  $F[x]$ . Since there are only finitely many such factors (explicitly, there are at most  $2^n$  such factors where  $n$  is the number of roots of  $m(x)$ ), there are finitely many such subfields.

## The Primitive Element Theorem, IV

Proof (continued):

- For the converse, suppose  $K/F$  has finite degree and finitely many intermediate fields. Then  $K = F(\alpha_1, \dots, \alpha_n)$  for some algebraic  $\alpha_i \in K$ , so it suffices to show that  $F(\beta, \gamma)$  is a simple extension for any algebraic  $\beta, \gamma$ , since then the result for  $K$  follows immediately by induction.
- To show this, consider the subfields  $F(\beta + x\gamma)$  for  $x \in F$ : since  $F$  is infinite by hypothesis and there are only finitely many intermediate fields of  $K/F$ , there must exist distinct  $x, y \in F$  such that  $F(\beta + x\gamma) = F(\beta + y\gamma)$ . Call this field  $E$ .
- Then  $E \subseteq F(\beta, \gamma)$ , and since  $E$  contains  $\beta + x\gamma$  and  $\beta + y\gamma$  it also contains  $(x - y)\gamma$ , hence  $\gamma$ , since  $x - y$  is a nonzero element of  $F$ . Then  $E$  clearly also contains  $\beta = (\beta + x\gamma) - x\gamma$ , and so  $E = F(\beta, \gamma)$ .
- Thus,  $E = F(\beta + x\gamma)$  is a simple extension of  $F$ , so we win.

## The Primitive Element Theorem, V

Using the Galois correspondence, we can then see immediately that a finite-degree Galois extension has finitely many intermediate subfields, since these are in bijection with subgroups of the Galois group (which is a finite group), and is therefore simple. We may extend this result to any separable extension:

### Theorem (Primitive Element Theorem)

*If  $K/F$  is a finite-degree separable extension, then  $K = F(\alpha)$  for some  $\alpha \in K$ . In particular, any finite-degree extension of characteristic-0 fields is a simple extension.*

In general, an element  $\alpha$  generating the extension  $K/F$  is called a primitive element for  $K/F$ , whence the name “primitive element theorem”.

## The Primitive Element Theorem, VI

### Proof:

- If  $K/F$  is a finite-degree separable extension, then  $K = F(\alpha_1, \dots, \alpha_n)$  for some algebraic  $\alpha_1, \dots, \alpha_n$ .
- Let the minimal polynomial of  $\alpha_i$  over  $F$  be  $m_i(x)$ , and define  $m(x)$  to be the least common multiple of the polynomials  $m_i(x)$ .
- Then  $m(x)$  cannot have any repeated roots, since by definition of the least common multiple this would require one of the  $m_i$  to have a repeated root, so  $m(x)$  is separable.
- Let  $L$  be the splitting field of  $m(x)$  over  $F$ : then  $L$  contains each of  $\alpha_1, \dots, \alpha_n$ , hence contains  $K$ , and  $L/F$  is a Galois extension.

## The Primitive Element Theorem, VII

Proof (continued):

- Let  $L$  be the splitting field of  $m(x)$  over  $F$ : then  $L$  contains each of  $\alpha_1, \dots, \alpha_n$ , hence contains  $K$ , and  $L/F$  is a Galois extension.
- By the fundamental theorem of Galois theory, the intermediate fields of  $L/F$  are in bijection with the subgroups of  $\text{Gal}(L/F)$ . Since  $\text{Gal}(L/F)$  is a finite group, it has finitely many subgroups, and so there are finitely many intermediate fields of  $L/F$ .
- Since  $K$  is a subfield of  $L/F$ , this means there are finitely many intermediate fields of  $K/F$  also. By the previous result, this means  $K/F$  is a simple extension, as claimed.
- The second statement follows immediately, since every extension of characteristic-0 fields is separable.

## The Primitive Element Theorem, VIII

Per the proof of the primitive element theorem, if  $K/F$  is separable and has finite degree with  $K = F(\alpha_1, \dots, \alpha_n)$  and  $F$  is infinite, then we may always construct a primitive element as an  $F$ -linear combination of the generators  $\alpha_1, \dots, \alpha_n$ .

- If in addition  $K/F$  is Galois, then to verify that  $\beta \in K$  is a primitive element, we need only check that it is not fixed by any element of the Galois group  $\text{Gal}(K/F)$ , since then it cannot be an element of any proper subfield of  $K/F$ .
- More generally, to determine whether an element  $\beta$  of a non-Galois separable extension  $K/F$  is a generator, we may compute all of its Galois conjugates (inside a Galois extension  $L/K/F$ ): if the number of distinct Galois conjugates is equal to the degree  $[K : F]$ , then  $\beta$  will generate  $K/F$ .

## The Primitive Element Theorem, IX

Example: If  $p$  is a prime, find the degree of the extension  $\mathbb{Q}(3^{1/p}, \zeta_p)/\mathbb{Q}$ , show it is Galois, and identify its automorphisms.

- Note that  $\mathbb{Q}(3^{1/p}, \zeta_p)$  is the splitting field of the Eisenstein-irreducible polynomial  $x^p - 3$  over  $\mathbb{Q}$ , and is also the composite of the fields  $\mathbb{Q}(3^{1/p})$  and  $\mathbb{Q}(\zeta_p)$ , which have degrees  $p$  and  $p - 1$  over  $\mathbb{Q}$ . Thus,  $[K : \mathbb{Q}] = p(p - 1)$ .
- Any element of the Galois group must map  $3^{1/p}$  to one of its  $p$  Galois conjugates  $3^{1/p}, 3^{1/p}\zeta_p, \dots, 3^{1/p}\zeta_p^{p-1}$  over  $\mathbb{Q}$ , and must also map  $\zeta_p$  to one of its  $p - 1$  Galois conjugates  $\zeta_p, \zeta_p^2, \dots, \zeta_p^{p-1}$  over  $\mathbb{Q}$ .
- Since this yields at most  $p(p - 1)$  choices, each must actually extend to an automorphism of  $K/\mathbb{Q}$ .
- Thus, the automorphisms are obtained by extending the maps  $3^{1/p} \mapsto \{3^{1/p}, 3^{1/p}\zeta_p, \dots, 3^{1/p}\zeta_p^{p-1}\}$  and  $\zeta_p \mapsto \{\zeta_p, \zeta_p^2, \dots, \zeta_p^{p-1}\}$  to the full field  $K$ .

# The Primitive Element Theorem, X

Example: If  $p$  is a prime, find a primitive element for the Galois extension  $\mathbb{Q}(3^{1/p}, \zeta_p)/\mathbb{Q}$ .

- To compute a primitive element, let us try the easiest nontrivial linear combination of the generators, namely  $\alpha = 3^{1/p} + \zeta_p$ .
- We can see that applying all of the automorphisms in the Galois group to  $\alpha$  yield the  $p(p-1)$  elements  $3^{1/p}\zeta_p^a + \zeta_p^b$  for  $a \in \{0, 1, \dots, p-1\}$  and  $b \in \{1, 2, \dots, p-1\}$ .
- Since no automorphism fixes  $\alpha$ , we conclude that  $\alpha = 3^{1/p} + \zeta_p$  is a primitive element for  $K/\mathbb{Q}$ .
- There are, of course, many other possible choices.

## The Primitive Element Theorem, XI

We will also remark that there do exist non-separable finite-degree extensions that are not simple.

- For example, consider the fields  $K = \mathbb{F}_p(x^p, y^p)$  and  $L = \mathbb{F}_p(x, y)$ , where  $x$  and  $y$  are indeterminates. Then  $[L : K] = [L : F(x^p, y)] \cdot [F(x^p, y) : F(x^p, y^p)] = p \cdot p = p^2$ .
- On the other hand, there is no primitive element for  $L/K$ , because the  $p$ th power of every element of  $L$  lies in  $K$ : taking  $p$ th powers does not affect elements in  $\mathbb{F}_p$  and respects addition and multiplication, so the result of taking the  $p$ th power of a rational function in  $L$  is simply to replace  $x$  with  $x^p$  and  $y$  with  $y^p$ .
- Therefore, every element of  $L$  satisfies a polynomial of degree  $p$  with coefficients in  $K$ . In particular, there does not exist any element  $\alpha$  in  $L$  with  $[K(\alpha) : K] = p^2$ , and so  $L/K$  is not a simple extension.

## The Primitive Element Theorem, XII

We can explicitly compute an infinite family of intermediate subfields for  $L/K = \mathbb{F}_p(x, y)/\mathbb{F}_p(x^p, y^p)$ .

- Specifically, we have the intermediate fields  $E_n = K(x + y^{1+np})$  for positive integers  $n$ .
- Each of these fields is a degree- $p$  extension of  $K$ , since  $x + y^{1+ap} \notin K$  but as noted earlier its  $p$ th power is in  $K$ .
- Also,  $E_a \neq E_b$  for  $a \neq b$ , because the composite of  $K(x + y^{1+ap})$  and  $K(x + y^{1+bp})$  contains the difference  $y(y^{ap} - y^{bp})$  and hence  $y$  (since the second term is in  $K$ ), and hence also  $x$ .
- This means the composite field of  $E_a$  and  $E_b$  is  $K(x, y) = L$ , but since  $[L : K] = p^2$  this means the original fields could not have been equal.
- The existence of infinitely many intermediate fields again implies that  $L/K$  cannot be a simple extension.

## The Primitive Element Theorem, XIII

In fact, the example we gave is essentially the simplest possible non-simple field extension.

- Explicitly, a non-simple extension must be inseparable, so its degree can be reduced to a power of  $p$  by taking its purely inseparable part.
- Furthermore, every extension of degree  $p$  is simple, as you showed on the midterm exam (it is generated by any element of  $K$  not in  $F$ ).
- Thus, a non-simple field extension of minimal degree must be a purely inseparable extension of degree  $p^2$  over a field of characteristic  $p$ .
- This means it has to be of the form  $F(\alpha^{1/p}, \beta^{1/p})$  for some  $\alpha, \beta \in F$ , since if it were generated by taking a  $p^2$  root, it would be simple.

## Composite Extensions, I

Next we consider the question of computing Galois groups of composite extensions. The main result is as follows:

### Proposition (“Sliding-Up” Galois Extensions)

*Suppose  $K/F$  is a Galois extension and  $L/F$  is any extension. Then the extension  $KL/L$  is Galois, and its Galois group is isomorphic to the subgroup  $\text{Gal}(K/K \cap L)$  of  $\text{Gal}(K/F)$ .*

## Composite Extensions, II

### Proof:

- By our characterization of Galois extensions,  $K$  is the splitting field of a separable polynomial  $p(x)$  over  $F$ : explicitly,  $K = F(r_1, r_2, \dots, r_n)$  where the  $r_i$  are the roots of  $p(x)$  in  $K$ .
- Then  $KL$  is the splitting field of  $p(x)$  over  $L$ , since  $KL = L(r_1, r_2, \dots, r_n)$ , and so  $KL/L$  is Galois.
- Now suppose  $\sigma$  is any automorphism of  $KL/L$ : observe that the restriction  $\sigma|_K$  of  $\sigma$  to  $K$  is an automorphism of  $K$ , since  $\sigma|_K(K)$  is a Galois conjugate field of  $K$ , hence must equal  $K$  since  $K/F$  is Galois.
- We obtain a well-defined map  $\varphi : \text{Gal}(KL/L) \rightarrow \text{Gal}(K/F)$  given by restricting an automorphism of  $KL/L$  to  $K/F$ .
- Trivially,  $\varphi$  is a homomorphism. Also,  $\ker \varphi$  consists of automorphisms of  $KL$  fixing both  $L$  and  $K$ , but the only such map is the identity.

## Composite Extensions, III

Proof (continued):

- We have a homomorphism  $\varphi : \text{Gal}(KL/L) \rightarrow \text{Gal}(K/F)$  given by restricting an automorphism of  $KL/L$  to  $K/F$ .
- For  $\text{im } \varphi$ , observe that every element in  $\text{im}(\varphi)$  must fix the elements of  $L$  inside  $K$ , hence  $\text{im}(\varphi) \leq \text{Gal}(K/K \cap L)$ .
- Now let  $E$  be the fixed field of  $\text{im}(\varphi)$ : then the observation above shows that  $E$  contains  $K \cap L$ .
- Also,  $EL$  is fixed by  $\text{Gal}(KL/L)$ , since any  $\sigma \in \text{Gal}(KL/L)$  fixes  $L$  and its restriction to  $K$  fixes  $E$  (by definition).
- Thus, by the fundamental theorem of Galois theory, we see that  $EL = L$ , and hence  $E \subseteq L$ . Since  $E \subseteq K$  this means  $E \subseteq K \cap L$ , and so we must have  $E = K \cap L$ .
- Hence again by the fundamental theorem of Galois theory, we conclude that  $\text{im}(\varphi) = \text{Gal}(K/E) = \text{Gal}(K/K \cap L)$ .

## Composite Extensions, IV

As a corollary, we obtain a useful formula for the degree of a composite extension where at least one of the fields is Galois:

### Corollary (Degree of Composite)

*Suppose  $K/F$  is a Galois extension and  $L/F$  is any finite-degree extension. Then  $[KL : F] = \frac{[K : F] \cdot [L : F]}{[K \cap L : F]}$ .*

Proof:

- From the previous result, we know that  $\text{Gal}(KL/L) \cong \text{Gal}(K/K \cap L)$ , and therefore by the fundamental theorem of Galois theory,  $[KL : L] = [K : K \cap L]$ .
- Then  $[KL : F] = [KL : L] \cdot [L : F] = [K : K \cap L] \cdot [L : F] = \frac{[K : F] \cdot [L : F]}{[K \cap L : F]}$ , as claimed.

## Composite Extensions, V

We may also say more about the Galois group of the composite of two Galois extensions:

### Proposition (Galois Groups of Composites)

*If  $K_1/F$  and  $K_2/F$  are Galois, then  $K_1K_2/F$  is also Galois and its Galois group is isomorphic to the subgroup of  $\text{Gal}(K_1/F) \times \text{Gal}(K_2/F)$  consisting of elements whose restrictions to  $K_1 \cap K_2$  are equal.*

*In particular, if  $K_1 \cap K_2 = F$ , then  $\text{Gal}(K_1K_2/F) \cong \text{Gal}(K_1/F) \times \text{Gal}(K_2/F)$ .*

## Composite Extensions, VI

### Proof:

- If  $K_1$  and  $K_2$  are Galois over  $F$  then they are splitting fields of some separable polynomials  $p_1(x)$  and  $p_2(x)$ .
- Then the composite field  $K_1K_2$  is the splitting field of the least common multiple of  $p_1(x)$  and  $p_2(x)$ , which as we have previously noted is also separable.
- Therefore,  $K_1K_2/F$  is also Galois.
- To compute the Galois group, observe that the action of any automorphism on  $K_1K_2/F$  is completely determined by its actions on  $K_1/F$  and  $K_2/F$  (since the elements of  $K_1$  and  $K_2$  generate  $K_1K_2$ ), and so we have a homomorphism  $\varphi : \text{Gal}(K_1K_2)/F \rightarrow \text{Gal}(K_1/F) \times \text{Gal}(K_2/F)$  given by  $\varphi(\sigma) = (\sigma_{K_1}, \sigma_{K_2})$ .
- This map  $\varphi$  is clearly injective, since any automorphism fixing both  $K_1$  and  $K_2$  fixes  $K_1K_2$ .

## Composite Extensions, VII

Proof (continued):

- We have  $\varphi : \text{Gal}(K_1K_2)/F \rightarrow \text{Gal}(K_1/F) \times \text{Gal}(K_2/F)$  given by  $\varphi(\sigma) = (\sigma|_{K_1}, \sigma|_{K_2})$ .
- To compute  $\text{im}(\varphi)$ , first observe that  $\text{im}(\varphi)$  is certainly contained in the subgroup  $H$  of  $\text{Gal}(K_1/F) \times \text{Gal}(K_2/F)$  consisting of elements whose restrictions to  $K_1 \cap K_2$  are equal.
- Furthermore, notice that for any fixed  $\tau \in \text{Gal}(K_2/F)$ , there are  $|\text{Gal}(K_1/K_1 \cap K_2)|$  automorphisms  $\sigma \in \text{Gal}(K_1/F)$  such that  $\sigma|_{K_1 \cap K_2} = \tau|_{K_1 \cap K_2}$ , and so  $|H| = |\text{Gal}(K_2/F)| \cdot |\text{Gal}(K_1/K_1 \cap K_2)| = [K_2 : F] \cdot [K_1 : K_1 \cap K_2]$ .
- By the sliding-up result,  $\text{Gal}(K_1K_2/K_2) \cong \text{Gal}(K_1/K_1 \cap K_2)$  and thus  $[K_1K_2 : K_2] = [K_1 : K_1 \cap K_2]$ .
- Hence  $|\text{im}(\varphi)| = |\text{Gal}(K_1K_2)/F| = [K_1K_2 : F] = [K_1K_2 : K_2] \cdot [K_2 : F] = [K_1 : K_1 \cap K_2] \cdot [K_2 : F]$ .
- Thus we see that  $|H| = |\text{im}(\varphi)|$ .

## Composite Extensions, VIII

Proof (continued more):

- We have  $\varphi : \text{Gal}(K_1K_2)/F \rightarrow \text{Gal}(K_1/F) \times \text{Gal}(K_2/F)$  given by  $\varphi(\sigma) = (\sigma|_{K_1}, \sigma|_{K_2})$ .
- Since  $|H| = |\text{im}(\varphi)|$ , that means  $H = \text{im } \varphi$ .
- Therefore, since  $\ker \varphi$  is trivial, we see that  $\text{Gal}(K_1K_2/F)$  is isomorphic to the subgroup of  $\text{Gal}(K_1/F) \times \text{Gal}(K_2/F)$  consisting of elements whose restrictions to  $K_1 \cap K_2$  are equal, as claimed.
- In particular, if  $K_1 \cap K_2 = F$ , then every element  $(\sigma, \tau)$  in the direct product has  $\sigma|_{K_1 \cap K_2} = \tau|_{K_1 \cap K_2}$ .
- Then  $\text{Gal}(K_1K_2/F) \cong \text{Gal}(K_1/F) \times \text{Gal}(K_2/F)$ .

## Composite Extensions, IX

In cases where we can compute  $K_1 \cap K_2$ , this allows us to determine Galois groups for composite fields explicitly.

- For general fields  $K_1$  and  $K_2$ , of course, computing the field intersection can be difficult, since it is not always obvious what kinds of algebraic relations may exist between the generators.
- Our main basic tools are to use properties of extension degrees and to exploit the fact that some elements are real and others are not.

## Composite Extensions, X

Example: Find the degree of  $\mathbb{Q}(2^{1/3}, 3^{1/2}, \zeta_3)/\mathbb{Q}$  and describe its Galois group.

## Composite Extensions, X

Example: Find the degree of  $\mathbb{Q}(2^{1/3}, 3^{1/2}, \zeta_3)/\mathbb{Q}$  and describe its Galois group.

- Observe that  $L = \mathbb{Q}(2^{1/3}, 3^{1/2}, \zeta_3)$  is the composite of the Galois extensions  $K_1 = \mathbb{Q}(2^{1/3}, \zeta_3)$  and  $K_2 = \mathbb{Q}(3^{1/2})$ .
- Now observe that  $K_1$  has a unique quadratic subfield, namely  $\mathbb{Q}(\zeta_3) = \mathbb{Q}(\sqrt{-3})$ , which is not equal to  $K_2$ . Hence we have  $K_1 \cap K_2 = \mathbb{Q}$ .
- Then by the degree formula we have
$$[K_1 K_2 : \mathbb{Q}] = \frac{[K_1 : \mathbb{Q}] \cdot [K_2 : \mathbb{Q}]}{[K_1 \cap K_2 : \mathbb{Q}]} = 12.$$
- The Galois group is simply the direct product  $\text{Gal}(K_1/\mathbb{Q}) \times \text{Gal}(K_2/\mathbb{Q}) \cong S_3 \times (\mathbb{Z}/2\mathbb{Z})$ .

## Composite Extensions, XI

Example: Find the degree of  $\mathbb{Q}(2^{1/3}, 3^{1/3}, \zeta_3)/\mathbb{Q}$  and describe its Galois group.

- Observe that  $L = \mathbb{Q}(2^{1/3}, 3^{1/3}, \zeta_3)$  is the composite of the Galois extensions  $K_1 = \mathbb{Q}(2^{1/3}, \zeta_3)$  and  $K_2 = \mathbb{Q}(3^{1/3}, \zeta_3)$ .
- Then  $K_1 \cap K_2$  certainly contains  $\mathbb{Q}(\zeta_3)$  and is contained in  $K_1$ , so since  $[K_1 : \mathbb{Q}(\zeta_3)] = 3$  we must have either  $K_1 \cap K_2 = K_1$  or  $K_1 \cap K_2 = \mathbb{Q}(\zeta_3)$ .
- If  $K_1 \cap K_2 = K_1$  then we would also have  $K_1 \cap K_2 = K_2$  by degree considerations, and then  $K_1$  would equal  $K_2$ .
- But this is not possible, because it would imply that  $3^{1/3} \in \mathbb{Q}(2^{1/3})$ , which is not true.

## Composite Extensions, XI

Example: Find the degree of  $\mathbb{Q}(2^{1/3}, 3^{1/3}, \zeta_3)/\mathbb{Q}$  and describe its Galois group.

- It is intuitively obvious that  $3^{1/3} \notin \mathbb{Q}(2^{1/3})$ .
- But for completeness, here is a rigorous argument.
- First observe that any element  $\sigma$  of the Galois group has the property that  $\sigma(3^{1/3})/3^{1/3}$  is a 3rd root of unity.
- Now note that the only elements  $z \in \mathbb{Q}(2^{1/3})$  with  $\sigma(z)/z$  equal to a third root of unity for all  $\sigma \in \text{Gal}(K_1/\mathbb{Q})$  are rational multiples of  $\{1, 2^{1/3}, 4^{1/3}\}$ .
- Finally,  $3^{1/3}$  is not equal to any of these, since none of  $3^{1/3}$ ,  $6^{1/3}$ ,  $12^{1/3}$  are rational (and this follows by the rational root test or Eisenstein's criterion).

## Composite Extensions, XII

Example: Find the degree of  $\mathbb{Q}(2^{1/3}, 3^{1/3}, \zeta_3)/\mathbb{Q}$  and describe its Galois group.

- Hence  $K_1 \cap K_2 = \mathbb{Q}(\zeta_3)$ , and so by the degree formula we see that  $[K_1 K_2 : \mathbb{Q}] = \frac{[K_1 : \mathbb{Q}] \cdot [K_2 : \mathbb{Q}]}{[K_1 \cap K_2 : \mathbb{Q}]} = \frac{6 \cdot 6}{2} = 18$ .
- The Galois group is the subgroup of  $\text{Gal}(K_1/\mathbb{Q}) \times \text{Gal}(K_2/\mathbb{Q}) \cong S_3 \times S_3$  of pairs  $(\sigma, \tau)$  where  $\sigma|_{\mathbb{Q}(\zeta_3)} = \tau|_{\mathbb{Q}(\zeta_3)}$ .
- These are the maps  $\varphi(2^{1/3}, 3^{1/3}, \zeta_3) = (2^{1/3}\zeta_3^a, 3^{1/3}\zeta_3^b, \zeta_3^c)$  where  $a \in \{0, 1, 2\}$ ,  $b \in \{0, 1, 2\}$ , and  $c \in \{1, 2\}$ .
- It is easy to see that every element in the Galois group must be of this form, and conversely since  $|\text{Gal}(K_1 K_2/\mathbb{Q})| = 18$ , each of these 18 choices does extend to an automorphism.
- This group is also a semidirect product  $(C_3 \times C_3) \rtimes C_2$  (the  $C_3$  factors are the maps on the cube roots of 2 and 3, while the  $C_2$  is complex conjugation).

## Composite Extensions, XIII

One may extend the arguments we gave here to analyze general “radical extensions” obtained by adjoining various roots of elements.

- The study of such extensions is generally referred to as Kummer theory.
- In general, the structures of these extensions have a similar form to the ones we described in the last two examples, and the Galois groups will be obtained as (iterated) semidirect products.
- In order to study these general radical extensions, the first step is to look at cyclotomic extensions, which are obtained by adjoining roots of unity.

# Cyclotomic Extensions, I

Our first goal is to compute the degree and the Galois group of the cyclotomic extension  $\mathbb{Q}(\zeta_n)$  for an arbitrary positive integer  $n$ .

- To do this, we require some facts about the  $n$ th roots of unity.
- As we have observed previously, the group  $\mu_n = \{1, \zeta_n, \zeta_n^2, \dots, \zeta_n^{n-1}\}$  of  $n$ th roots of unity is cyclic of order  $n$  and generated by  $\zeta_n$ . We have an explicit isomorphism of  $\mu_n$  with  $\mathbb{Z}/n\mathbb{Z}$  given by associating  $\zeta_n^k$  with  $\bar{k}$ .
- From properties of order, we see that the order of  $\zeta_n^k$  is  $n/\gcd(n, k)$ , so in particular  $\zeta_n^k$  has order  $n$  precisely when  $k$  is relatively prime to  $n$  (equivalently, when  $k$  is a unit modulo  $n$ ).
- If  $\zeta$  is an  $n$ th root of unity of order  $n$ , we call it a primitive  $n$ th root of unity: by the above remarks, the number of primitive  $n$ th roots of unity is  $\#(\mathbb{Z}/n\mathbb{Z})^\times$ .

## Cyclotomic Extensions, II

The number of units modulo  $n$  is an important quantity that often shows up in number theory:

### Definition

*If  $n$  is a positive integer, the Euler  $\varphi$ -function  $\varphi(n)$ , also sometimes called the Euler totient function, is the number of units in  $\mathbb{Z}/n\mathbb{Z}$ . Equivalently,  $\varphi(n)$  is the number of positive integers  $k$  with  $1 \leq k \leq n$  that are relatively prime to  $n$ .*

### Examples:

1.  $\varphi(6) = 2$  since there are 2 units modulo 6, namely  $\bar{1}$  and  $\bar{5}$ .
2.  $\varphi(p) = p - 1$  if  $p$  is prime since  $\mathbb{Z}/p\mathbb{Z}$  has  $p - 1$  units.
3.  $\varphi(20) = 8$  as the units mod 20 are  $\bar{1}, \bar{3}, \bar{7}, \bar{9}, \bar{11}, \bar{13}, \bar{17}, \bar{19}$ .

## Cyclotomic Extensions, III

We can give an explicit formula for the value of  $\varphi(n)$ :

### Proposition (Value of $\varphi(n)$ )

*If  $p$  is a prime, then  $\varphi(p^k) = p^k - p^{k-1}$ , and for any relatively prime integers  $a$  and  $b$  we also have  $\varphi(ab) = \varphi(a)\varphi(b)$ . Thus, if  $n$  has prime factorization  $n = \prod_i p_i^{a_i}$ , we have*

$$\varphi(n) = \prod_i p_i^{a_i-1}(p_i - 1) = n \cdot \prod_i (1 - 1/p_i).$$

Examples:

1.  $\varphi(60) = \varphi(2^2 \cdot 3 \cdot 5) = \varphi(2^2)\varphi(3)\varphi(5) = 2 \cdot 2 \cdot 4 = 16$ .
2.  $\varphi(2000) = \varphi(2^4 5^3) = \varphi(2^4)\varphi(5^3) = (2^4 - 2^3)(5^3 - 5^2) = 800$ .

## Cyclotomic Extensions, IV

### Proof:

- If  $p$  is a prime, then  $\varphi(p^k) = p^k - p^{k-1}$ , since the integers with  $1 \leq k \leq p^k$  not relatively prime to  $p^k$  are simply the multiples of  $p$ , of which there are  $p^{k-1}$ .
- For the second statement, by the Chinese remainder theorem we know  $(\mathbb{Z}/ab\mathbb{Z})^\times$  and  $(\mathbb{Z}/a\mathbb{Z})^\times \times (\mathbb{Z}/b\mathbb{Z})^\times$  are isomorphic.
- Comparing cardinalities shows that  $\varphi(ab) = \varphi(a)\varphi(b)$  for any relatively prime integers  $a$  and  $b$ .
- For the last statement, we simply write  $n$  as a product of prime powers and then apply the two results we have just established to conclude that  $\varphi(n) = \prod_i p_i^{a_i-1}(p_i - 1)$ .
- The second formula  $\varphi(n) = n \cdot \prod_i (1 - 1/p_i)$  follows by pulling out a factor of  $p_i^{a_i}$  from each term.

## Cyclotomic Extensions, V

### Definition

The  $n$ th cyclotomic polynomial  $\Phi_n(x)$  is the monic polynomial of degree  $\varphi(n)$  whose roots are the primitive  $n$ th roots of unity:

$$\Phi_n(x) = \prod_{k \in (\mathbb{Z}/n\mathbb{Z})^\times} (x - \zeta_n^k).$$

Observe that the roots of  $x^n - 1$  are all of the  $n$ th roots of unity.

- So, if we group together all of the primitive  $d$ th roots of unity for each  $d|n$ , we see that  $x^n - 1 = \prod_{d|n} \Phi_d(x)$ . (Computing the degree of both sides also establishes the identity  $n = \sum_{d|n} \varphi(d)$  for the Euler  $\varphi$ -function.)
- This yields a recursion that we can use to compute  $\Phi_n(x)$ : for example,  $x^6 - 1 = \Phi_6(x)\Phi_3(x)\Phi_2(x)\Phi_1(x)$ , so

$$\Phi_6(x) = \frac{x^6 - 1}{(x^2 + x + 1)(x + 1)(x - 1)} = x^2 - x + 1.$$

## Cyclotomic Extensions, VI

In fact, we can use a multiplicative version of Möbius inversion to solve  $x^n - 1 = \prod_{d|n} \Phi_d(x)$  for the cyclotomic polynomials.

- Recall that if  $f(n)$  is any sequence satisfying a recursive relation of the form  $g(n) = \sum_{d|n} f(d)$ , for some function  $g(n)$ , then  $f(n) = \sum_{d|n} \mu(d)g(n/d)$ .
- Exponentiating both sides and replacing  $f$  and  $g$  with their exponentials yields the multiplicative version: if  $g(n) = \prod_{d|n} f(d)$ , then  $f(n) = \prod_{d|n} [g(n/d)]^{\mu(d)}$ .
- Thus, we see  $\Phi_n(x) = \prod_{d|n} [x^{n/d} - 1]^{\mu(d)}$ .
- Example:  
$$\Phi_{20}(x) = \frac{(x^{20} - 1)(x^2 - 1)}{(x^{10} - 1)(x^4 - 1)} = x^8 - x^6 + x^4 - x^2 + 1.$$
- From this recursion we can see by induction on  $n$  and Gauss's lemma that  $\Phi_n(x)$  will always have integer coefficients.

## Cyclotomic Extensions, VII

We have previously shown that if  $p$  is prime, then  $\Phi_p(x) = x^{p-1} + x^{p-2} + \cdots + x + 1$  is irreducible over  $\mathbb{Q}$ . We now extend this result to all of the polynomials  $\Phi_n(x)$ :

### Theorem (Irreducibility of Cyclotomic Polynomials)

*For any positive integer  $n$ , the cyclotomic polynomial  $\Phi_n(x)$  is irreducible over  $\mathbb{Q}$ , and therefore  $[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \varphi(n)$ .*

## Cyclotomic Extensions, VIII

Proof:

- Suppose we have an irreducible monic factor of  $\Phi_n(x)$  in  $\mathbb{Q}[x]$ .
- By Gauss's lemma, this yields a factorization  $\Phi_n(x) = f(x)g(x)$  where  $f(x), g(x) \in \mathbb{Z}[x]$  are monic and  $f(x)$  is irreducible.
- Let  $\omega$  be a primitive  $n$ th root of unity that is a root of  $f$ , and let  $p$  be any prime not dividing  $n$ . Since  $f$  is irreducible, this means  $f$  is the minimal polynomial of  $\omega$ .
- By properties of order, we see that  $\omega^p$  is also a primitive  $n$ th root of unity, hence is a root of either  $f$  or of  $g$ .
- We will show it is in fact a root of  $f$ .

## Cyclotomic Extensions, IX

Proof (continued):

- So suppose  $\omega^p$  is a root of  $g$ : then  $g(\omega^p) = 0$ .
- This means  $\omega$  is a root of  $g(x^p)$ , and so since  $f$  is the minimal polynomial of  $\omega$ , it must divide  $g(x^p)$ : say  $f(x)h(x) = g(x^p)$  for some  $h(x) \in \mathbb{Z}[x]$ .
- Modulo  $p$ , this says  $\bar{f}(x)\bar{h}(x) = \bar{g}(x^p) = \bar{g}(x)^p$ .
- By unique factorization in  $\mathbb{F}_p[x]$ , we see that  $\bar{f}(x)$  and  $\bar{g}(x)$  have a nontrivial common factor in  $\mathbb{F}_p[x]$ .
- Then since  $\Phi_n(x) = f(x)g(x)$ , reducing modulo  $p$  yields  $\overline{\Phi_n(x)} = \bar{f}(x)\bar{g}(x)$  and so  $\overline{\Phi_n(x)}$  would have a repeated factor, hence so would  $x^n - 1$ .
- But this is a contradiction because since  $x^n - 1$  is separable in  $\mathbb{F}_p[x]$  (its derivative is  $nx^{n-1}$ , which is relatively prime to  $x^n - 1$  because  $p$  does not divide  $n$ ).
- Thus,  $\omega^p$  is not a root of  $g$ , so it must be a root of  $f$ .

## Cyclotomic Extensions, X

Proof (continued more):

- So: for any primitive  $n$ th root of unity  $\omega$ , and any prime  $p$  not dividing  $n$ , we see that  $\omega^p$  is a root of  $f$ .
- Therefore, we see that for any  $a = p_1 p_2 \cdots p_k$  that is relatively prime to  $n$ , then  $\omega^a = ((\omega^{p_1})^{p_2})^{\cdots p_k}$  is a root of  $f$ .
- But this means every primitive  $n$ th root of unity is a root of  $f$ , and so  $\Phi_n = f$  is irreducible as claimed.
- Then the fact that  $[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \varphi(n)$  follows immediately, because  $\Phi_n(x)$  is then the minimal polynomial of  $\zeta_n$ , so  $[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \deg(\Phi_n) = \varphi(n)$ .

## Cyclotomic Extensions, XI

We can now easily compute the Galois group of  $\mathbb{Q}(\zeta_n)/\mathbb{Q}$ :

### Theorem (Galois Group of $\mathbb{Q}(\zeta_n)$ )

*The extension  $\mathbb{Q}(\zeta_n)/\mathbb{Q}$  is Galois with Galois group isomorphic to  $(\mathbb{Z}/n\mathbb{Z})^\times$ . Explicitly, the elements of the Galois group are the automorphisms  $\sigma_a$  for  $a \in (\mathbb{Z}/n\mathbb{Z})^\times$  acting via  $\sigma_a(\zeta_n) = \zeta_n^a$ .*

The argument is essentially the same one we used to compute the Galois group of  $\mathbb{Q}(\zeta_p)/\mathbb{Q}$ . The only missing piece of information here was that the degree of  $\mathbb{Q}(\zeta_n)$  is equal to  $\varphi(n) = \#(\mathbb{Z}/n\mathbb{Z})^\times$ .

The only remaining computational aspect to writing down the Galois group structure is to find the structure of the abelian group  $(\mathbb{Z}/n\mathbb{Z})^\times$ , which you will do on Homework 11.

## Cyclotomic Extensions, XII

### Proof:

- Since  $K = \mathbb{Q}(\zeta_n)$  is the splitting field of  $x^n - 1$  (or  $\Phi_n(x)$ ) over  $\mathbb{Q}$  it is Galois, and  $|\text{Gal}(K/\mathbb{Q})| = [K : \mathbb{Q}] = \varphi(n)$ .
- Any automorphism  $\sigma$  must map  $\zeta_n$  to one of its Galois conjugates over  $\mathbb{Q}$ , which are the roots of  $\Phi_n(x)$ : explicitly, these are the  $\varphi(n)$  values  $\zeta_n^a$  for  $a$  relatively prime to  $n$ .
- Since there are in fact  $\varphi(n)$  possible automorphisms, each of these choices must extend to an automorphism of  $K/\mathbb{Q}$ .
- Hence the elements of the Galois group are the maps  $\sigma_a$  as claimed. Since  $\sigma_a(\sigma_b(\zeta_n)) = \sigma_a(\zeta_n^b) = \zeta_n^{ab}$ , the composition of automorphisms is the same as multiplication of the indices in  $(\mathbb{Z}/n\mathbb{Z})^\times$ , and since this association is a bijection, the Galois group is isomorphic to  $(\mathbb{Z}/n\mathbb{Z})^\times$ .

## Summary

We discussed finite fields and irreducible polynomials mod  $p$ .

We proved the primitive element theorem.

We discussed some properties of composite extensions.

Next lecture: Cyclotomic extensions, symmetric functions, discriminants, cubic polynomials.