

# A conjecture of Evans on Kloosterman sums

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# Notation

We will make use of the following notation:

- $p$  odd prime
- Additive character  $\psi : \mathbb{F}_p \rightarrow \mathbb{C}, x \mapsto e^{\frac{2\pi ix}{p}}$
- $\bar{x} = 1/x$  in  $\mathbb{F}_p^\times$
- $\varepsilon : \mathbb{F}_p \rightarrow \mathbb{C}, x \mapsto x$
- $\phi : \mathbb{F}_p \rightarrow \mathbb{C}, x \mapsto \left(\frac{x}{p}\right)$  (Legendre)

# Gauss and Jacobi Sums

## Definition

For  $a \in \mathbb{F}_p$ , Quadratic Gauss Sum:

$$G(\phi) := \sum_{a \in \mathbb{F}_p} \phi(a)\psi(a).$$

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## Definition

For multiplicative characters  $\chi_1, \chi_2$

$$J(\chi_1, \chi_2) := \sum_{a \in \mathbb{F}_p} \chi_1(a)\chi_2(1 - a).$$

# Kloosterman Sum

## Definition

For  $a \in \mathbb{F}_p^\times$ , the classical Kloosterman sum is

$$K(a) := \sum_{x \in \mathbb{F}_p^\times} \psi(x + a\bar{x}).$$

# “Sum” Definitions

## Definition

The  $n$ -th twisted Kloosterman sheaf of  $\phi$  is

$$T_n := \sum_{a \in \mathbb{F}_p^\times} \phi(a)(g(a)^n + g(a)^{n-1}h(a) + \cdots + h(a)^n),$$

where  $g(a)$  and  $h(a)$  are the roots of the polynomial

$$X^2 + K(a)X + p.$$

# Evans's Conjecture

Define  $a(n)$  by

$$\sum_{n=1}^{\infty} a(n)q^n := q \prod_{n=1}^{\infty} (1-q^{2n})^4 (1-q^{4n})^4 = q - 4q^3 - 2q^5 + 24q^7 - 11q^9 - \dots$$

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## Conjecture (Evans)

If  $p$  is an odd prime, then

$$-\frac{T_4}{p} = a(p).$$



## Earlier Related Work

Emma and D. H. Lehmer showed

$$\frac{-\phi(-1)T_3}{p} = \begin{cases} 0 & p \equiv 2 \pmod{3} \\ 4x^2 - 2p & p = x^2 + 3y^2 \end{cases}$$

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These are the values of the  $p$ -th coefficients of the eigenform

$$q \prod_{n=1}^{\infty} (1 - q^{2n})^3 (1 - q^{6n})^3 \in S_3 \left( \Gamma_0(12), \left( \frac{d}{3} \right) \right).$$

## Earlier Related Work, cont.

Green's analogy between character sum expansion of a function  $f : \mathbb{F}_p \rightarrow \mathbb{C}$  and power series expansion of an analytic function:

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Basis was analogy between the Gauss sum

$$G(\chi) = \sum_{x \in \mathbb{F}_p^\times} \chi(x)\psi(x)$$

and the gamma function

$$\Gamma(x) = \int_0^\infty t^x e^{-t} \frac{dt}{t}.$$

# Classical Hypergeometric functions

## Definition

$${}_pF_q \left( \begin{matrix} \alpha_1, & \alpha_2, & \dots & \alpha_p \\ \beta_1, & \dots & \beta_q \end{matrix} \middle| x \right) := \sum_{n=0}^{\infty} \frac{(\alpha_1)_n (\alpha_2)_n \cdots (\alpha_p)_n}{(\beta_1)_n \cdots (\beta_q)_n} \frac{x^n}{n!},$$

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where  $(\alpha)_n = \alpha(\alpha + 1) \cdots (\alpha + n - 1)$ .

# Gaussian hypergeometric functions I

## Definition

For characters  $A$  and  $B$  on  $\mathbb{F}_p$ , define the binomial coefficient

$$\binom{A}{B} := \frac{B(-1)}{p} J(A, \bar{B}) = \frac{B(-1)}{p} \sum_{x \in \mathbb{F}_p} A(x) \bar{B}(1-x).$$



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## Definition

For  $n \in \mathbb{N}^+$ , and  $x \in \mathbb{F}_p$ , define

$${}_{n+1}F_n(x) := \frac{p}{p-1} \sum_{\chi} \binom{\phi\chi}{\chi}^{n+1} \chi(x).$$

## Gaussian hypergeometric functions II

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Examples:

$${}_2F_1(x) = \varepsilon(x) \frac{\phi(-1)}{p} \sum_{y \in \mathbb{F}_p^\times} \phi(y)\phi(1-y)\phi(1-xy),$$

$${}_3F_2(x) = \varepsilon(x) \frac{1}{p^2} \sum_{y,z \in \mathbb{F}_p^\times} \phi(y)\phi(1-y)\phi(z)\phi(1-z)\phi(1-xyz).$$

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Transformation laws for  $x \neq 0, 1$ :

$${}_2F_1(x) = \phi(-1) {}_2F_1(1-x),$$

$${}_2F_1(x) = \phi(x) {}_2F_1(\bar{x}).$$

## Gaussian hypergeometric functions III

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## Lemma

*If  $t \neq 0, \pm 1$ , then*

$${}_3F_2\left(\frac{1}{1-t^2}\right) = \phi(t^2 - 1) \left(-\frac{1}{p} + {}_2F_1\left(\frac{1-t}{2}\right)^2\right).$$

# Gaussian hypergeometric functions III

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and

Lemma

$$p^3 {}_4F_3(1) = p^2 \sum_{x \in \mathbb{F}_p^\times} \phi(x) {}_2F_1(x)^2.$$

# Relating geometric objects and counting functions

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by showing that the coefficients  $a(p)$  and the hypergeometric values  $p^3 {}_4F_3(1)$  count points on the “Calabi-Yau” variety

$$\{(x, y, z, w) \in (\mathbb{F}_p^\times)^4 \mid x + \frac{1}{x} + y + \frac{1}{y} + z + \frac{1}{z} + w + \frac{1}{w} = 0\}.$$

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## Conjecture (Evans)

*If  $p$  is an odd prime, then*

$$\frac{T_4}{p} = p^3 {}_4F_3(1) + p.$$

# Twisted moments

Note  $g(a)^n + g(a)^{n-1}h(a) + \cdots + g(a)h(a)^{n-1} + h(a)^n \in \mathbb{Z}[K(a)]$ .

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Therefore the  $m$ -th moments

$$S(m) = \sum_{a \in \mathbb{F}_p^\times} \phi(a) K(a)^m$$

govern the behavior of the Kloosterman sheaves.

Reductions of  $S(m)$ 

## Proposition

For an integer  $m \geq 1$ , we have that

$$S(m+1) = p\phi(-1) \sum_{x_1, \dots, x_m \in \mathbb{F}_p^\times} \phi(x_1 + \dots + x_m + 1) \phi(\overline{x_1} + \dots + \overline{x_m} + 1).$$

# Character Sums I

## Definition

For  $a, b \in \mathbb{F}_p$ ,

$$Q(a, b) := \sum_{x, y \in \mathbb{F}_p^\times} \phi(x + y + a)\phi(\bar{x} + \bar{y} + b)$$

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The evaluation of  $Q(1, 1)$  reduces to yield the Lehmers' result.

## Character Sums II

We may now write  $T_n$  as sums of  ${}_3F_2$ 's. For  $m \in \mathbb{N}^+$ , define

$$G(m) := \sum_{x_1, \dots, x_m \in \mathbb{F}_p^\times} {}_3F_2 \left( \frac{(x_1 + x_2 + \dots + x_m + 1)(\bar{x}_1 + \dots + \bar{x}_m + 1)}{4} \right).$$

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### Theorem

*If  $n \in \mathbb{N}^+$ , then  $T_n$  is an explicit linear combination of  ${}_3F_2$ 's. For  $m \geq 1$ , there is a recurrence*

$$S(m+3) = p^3 G(m) + pS(m+1).$$

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## Character Sums III

## Lemma

For  $F : \mathbb{F}_p^\times \rightarrow \mathbb{C}$  defined by

$$F(a) := Q(a + 1, \bar{a} + 1),$$

we have

$$T_4 = p\phi(-1) \sum_{x \in \mathbb{F}_p^\times} F(x) + 3p^2.$$

# Character Sums IV: A New Hope

## Lemma

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We have that

$$\begin{aligned} \phi(-1)F(1) &= p^2 \phi(-1) {}_2F_1(-1)^2 - p \\ \phi(-1)F(-1) &= p^2 {}_2F_1(1)^2 - p \end{aligned}$$

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They were used in the famous proof that  $\zeta(3)$  is irrational.

## Apéry numbers II

Let

$$\sum_{n=1}^{\infty} a(n)q^n := q \prod_{n=1}^{\infty} (1-q^{2n})^4 (1-q^{4n})^4 = q - 4q^3 - 2q^5 + 24q^7 - 11q^9 - \dots$$

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Conjecture (Beukers)

$$A\left(\frac{p-1}{2}\right) \equiv a(p) \pmod{p^2}.$$

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This was proven by Ahlgren and Ono using the combinatorial properties of  ${}_4F_3(1)$  and the relation  $p^3 {}_4F_3(1) = -a(p) - p$ .

Beukers-type congruences for  $T_n$ 

## Definition

For  $\lambda \in \mathbb{F}_p$ , define the generalized Apéry number by

$$A(p, \lambda) := \sum_{j=0}^{\frac{p-1}{2}} \binom{\frac{p-1}{2}}{j}^2 \binom{\frac{p-1}{2} + j}{j} \lambda^{pj}.$$

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If  $n$  is a positive integer, then modulo  $p^2$ ,  $T_{n+3}$  equals

$$(-1)^{n+1} p \sum_{x_1, \dots, x_n \in \mathbb{F}_p^\times} A \left( p, \frac{(x_1 + \dots + x_n + 1)(\bar{x}_1 + \dots + \bar{x}_n + 1)}{4} \right).$$

# Conclusion

- Proved Evans's Conjecture
- Expanded on the work of the Lehmer's with  $Q(a,b)$
- Apéry number observations

# Conclusion

## Theorem (DGP)

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